

**The State of Missouri**

**Consolidated Plan**

**FY2016**

**ACTION PLAN**

**Prepared by:**

**The Missouri Department of Economic Development  
in Coordination with**

**The Missouri Housing Development Commission**

**The Missouri Department of Social Services**

**The Missouri Department of Health & Senior Services**

**April 2016**

# Annual Action Plan

## Annual Goals and Objectives

### Goal 1: Affordable Housing for Low-Income Households

**Description:** Data from the Joint Center for Housing Studies at Harvard University, “The State of the Nation’s Housing 2015” estimates that over 39 million of all US households (homeowners and renters) pay more than 30% of their income towards housing. “The number of renters paying more than 30% of their household income towards housing set a new high in 2013, totaling 20.8 million.” The Missouri Housing Development Commission (MHDC) Housing Needs Assessment Report, 2015, shows that “approximately one-third of (all) Missouri households are cost burdened” and one-half (50.9%) of Missouri renters pay more than 30% of their household income for housing. When a household pays more than 30% of their income for housing costs, the burden of paying for other household necessities – food, child care, clothing, health care, education, transportation – increases; the hardship for that household increases as well. As the state housing finance agency, MHDC oversees many of the state’s housing resources, including those used to build, rehabilitate and preserve affordable housing units. MHDC annually issues a NOFA for the Rental Housing Production and Preservation Program which provides low-interest financing to non-profit and private developers of affordable rental housing in Missouri. HOME monies are used in conjunction with federal and state Low Income Housing Tax Credits (LIHTC) to build and/or rehabilitate affordable rental housing throughout the state. The 2016 Qualified Allocation Plan (QAP) includes a priority for developments that serve households living at or below 50% AMI; more specific information on evaluation factors and selection criteria can be found in the MHDC 2016 Qualified Allocation Plan (QAP). MHDC has been designated as Missouri’s administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

Consistent with the State of Missouri’s Consolidated Plan 2013-2017, the 2013, 2014, and 2015 Action Plans, the 2016 projected goals are based on MHDC’s rental production program which includes both HOME and LIHTC funding. Subsequently, the total units projected to be built and/or rehabilitated use both funding tools. The amount of funding available through NHTF has not been determined; the number of units projected to be built and/or rehabilitated will increase proportionally with the amount of funding received through NHTF for projects that include units eligible to receive NHTF funding.\*

**Category:** Affordable Housing

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Affordability

**Objective:** Provide decent affordable housing

**Geographic Areas Available:** statewide

**Priority Needs Addressed:** Affordable Housing for Low-Income Households

**Funding Allocated:** \$8,639,055 in HOME Funds

**Goal Outcome Indicator:**

- Rental Units Constructed - 795\* Household Housing Units
- Rental Units rehabilitated - 282\* Household Housing Units

## **Goal 2: Preservation of Affordable Housing for Low-Income Persons and Families**

**Description:** As the affordable housing stock continues to age, more emphasis must be given to the rehabilitation and preservation of affordable housing for moderate, low and very low income persons and families. The MHDC Housing Needs Assessment Report, 2015, illustrates this need by highlighting the age of Missouri’s housing stock, “approximately 28% of the state’s housing units date from 1990 and relatively few units have been added since 2010”. Substandard housing is a concern for many low and moderate income households, 2013 data from Harvard cites inadequate housing in both urban and rural areas; 7.5% and 5.3% of units respectively.

MHDC’s 2016 QAP includes preservation as a priority for both HOME and LIHTC funds. Additionally, the Department of Economic Development and MHDC will provide financing and tax credits for the rehabilitation of many additional units of affordable rental housing using tax-exempt bond financing and 4% LIHTCs.

MHDC has established a HOME Repair Program (HeRO) for qualified non-profit agencies for the purpose of home repair, weatherization, accessibility improvements and lead abatement in owner-occupied homes. This program is available to non-profit agencies that undertake the eligible activities on behalf of low and moderate-income families in non-metropolitan areas. In June 2015 MHDC Commissioners approved a NOFA for the HeRO program providing an increase in available funding totaling \$2,500,000 due to high demand for the program; the 2016 goals and allocation listed below reflect that

increase. Eligible homeowners must have a total household income that does not exceed 80% of the area median income. Eligible homeowners may receive assistance in an amount not to exceed \$22,500 per home.

MHDC has been designated as Missouri’s administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

Consistent with the State of Missouri’s Consolidated Plan 2013-2017, the 2013, 2014, and 2015 Action Plans, the 2016 projected goals are based on MHDC’s rental production program which includes both HOME and LIHTC funding. Subsequently, the total units projected to be built and/or rehabilitated use both funding tools. The amount of funding available through NHTF has not been determined; the number of units projected to be built and/or rehabilitated will increase proportionally with the amount of funding received through NHTF for projects that include units eligible to receive NHTF funding.\*

**Category:** Affordable Housing

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Affordability

**Objective:** Provide decent affordable housing

**Geographic Areas Available:** Statewide

**Priority Needs Addressed:** Affordability

**Funding Allocated:** \$8,639,055 in HOME Funds \*48% = \$4,149,939

**Funding Allocated for Homeowner’s Rehabilitation:** \$2,500,000

**Goal Outcome Indicator:**

- Rental Units rehabilitated 98\* Household Housing Units
- Homeowner rehabilitate 90\* Households completed

### **Goal 3: Affordable Housing for the Elderly**

**Description:** The State of Missouri, county, and city government officials, non-profit, faith-based organizations as well as the private sector must continue to prepare for an increase in the state's senior population. MHDC's Housing Needs Assessment Report, 2015, counts the percentage of Missouri renters who are 65 years and older in 2012 at almost 20% of the state's population, with 49% of those households paying more than 30% of their income towards housing costs. Harvard research shows that "over the next two decades, the number of adults aged 70 and over will increase by 91%". The aging of our population and the reality that many of these households will be living on fixed incomes will have a profound and far-reaching impact on the supply, demand, availability and cost of housing and related services for seniors. MHDC will continue to prioritize developments that provide more accessible and affordable housing for seniors as well as the necessary integrated and coordinated social services to help seniors successfully age in place.

MHDC will continue to add or improve affordable housing units for seniors throughout the state through administration of the federal and state LIHTC programs, MHDC Fund Balance, HOME, Affordable Housing Assistance Tax Credit (AHAP) Program, Home Repair Opportunity (HeRO) Program, and the Missouri Housing Trust Fund (MHTF) Program.

MHDC has been designated as Missouri's administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

Consistent with the State of Missouri's Consolidated Plan 2013-2017, the 2013, 2014, and 2015 Action Plans, the 2016 projected goals are based on MHDC's rental production program which includes both HOME and LIHTC funding. Subsequently, the total units projected to be built and/or rehabilitated use both funding tools. The amount of funding available through NHTF has not been determined; the number of units projected to be built and/or rehabilitated will increase proportionally with the amount of funding received through NHTF for projects that include units eligible to receive NHTF funding.\*

**Category:** Affordable Housing

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Affordability

**Objective:** Provide decent affordable housing

**Geographic Areas Available:** statewide

**Priority Needs Addressed:** Affordable Housing for the Elderly

**Funding Allocated:** \$8,639,055 in HOME Funds \* 52% = \$4,489,116

**Goal Outcome Indicator:**

- Rental Units Constructed - 376\* Household Housing Units
- Rental Units rehabilitated - 184\* Household Housing Units

#### **Goal 4: Continuum of Care (CoC)**

**Description:** The Missouri Balance of State CoC program provides funding for Permanent Supportive Housing, Rapid-Rehousing, Transitional Housing, and Safe Havens. The CoC program also provides additional funding for planning and coordination of the Balance of State CoC, and the Homeless Management Information System (HMIS). While funding levels were maintained for CoC service providers in 2014, no new beds were added during the FY 2014 competition. Funding levels are expected to remain the same for the FY 2015 competition, the 2016 goals reflect this trend.

**Category:** Homeless

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Accessibility/Availability

**Objective:** Provide Decent Affordable Housing

**Geographic Area Available:** Missouri Balance of State

**Priority Needs Addressed:** Coordinate Homeless Services throughout the Balance of State Continuum of Care in Missouri

**Funding Allocated:** \$4,689,793 in CoC Funds

**Goal Outcome Indicator:**

Permanent/Transitional Housing Beds Added – 0 Beds

**Goal 5: Emergency Solutions Grant (ESG)**

**Description:** The ESG Program is designed to identify sheltered and unsheltered homeless individuals and families, as well as those at risk of homelessness, and provide the services necessary to help those persons quickly regain stability in permanent housing after experiencing homelessness or a housing crisis. In Missouri, the goal is to safely reduce the length of stay for families and individuals in shelter in order to create opportunities for them to be rapidly re-housed. All currently existing emergency services will continue but will do so with the intent to ultimately transition persons into a more stable and permanent environment. This model removes the traditional tiered system that offers limited services and imposes unnecessary requirements on individuals and families in order to obtain permanent housing. Missouri recognizes that there will always be specific populations that require emergency shelter and services - including but not limited to homeless youth, survivors of domestic violence and homeless individuals struggling with substance abuse - but hopes to incorporate ways to safely shorten these episodes of homelessness and move individuals and families directly into a permanent housing situation through rapid re-housing.

Individuals experiencing homelessness or near homelessness are able to receive financial assistance through Homelessness Prevention and Rapid-Rehousing, as well as receive other support services to stabilize their housing situation.

**Category:** Homeless

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility

**Objective:** Create Suitable Living Environments

**Geographic Areas Included:** Statewide housing

**Priority Needs Addressed:** Provide Services to Sheltered and Unsheltered Homeless Individuals and Families

**Funding Allocated:** \$2,559,566 in ESG Funds

**Goal Outcome Indicator:**

- Emergency Shelter – 9,000 served
- Tenant-based rental assistance/Rapid rehousing – 700 persons assisted
- Homelessness Prevention – 1,000 persons assisted

**Goal 6: Special Needs Housing**

**Description:** Since 2011 MHDC has prioritized the development of affordable housing for special needs populations; the 2016 QAP continues that commitment. The term special needs is defined in MHDC’s 2016 QAP as: “a person who is (a) physically, emotionally, or mentally impaired or suffers from mental illness; (b) developmentally disabled; (c) homeless including survivors of domestic violence and sex trafficking; or (d) a youth aging out of foster care”. MHDC’s 2016 QAP endeavors to set aside 33% of the federal and state LIHTCs for special needs developments.

MHDC’s 2016 QAP modifies the definition of “special needs” to explicitly identify survivors of domestic violence and sex trafficking as eligible populations for the priority.

MHDC has been designated as Missouri’s administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

Consistent with the State of Missouri’s Consolidated Plan 2013-2017, the 2013, 2014, and 2015 Action Plans, the 2016 projected goals are based on MHDC’s rental production program which includes both HOME and LIHTC funding. Subsequently, the total units projected to be built and/or rehabilitated use both funding tools. The amount of funding available through NHTF has not been determined; the number of units projected to be built and/or rehabilitated will increase proportionally with the amount of funding received through NHTF for projects that include units eligible to receive NHTF funding.\*

**Category:** Affordable Housing; homeless; Non-Homeless Special Needs

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility

**Objective:** Create Suitable Living Environments

**Geographic Areas Included:** Statewide Housing

**Priority Needs Addressed:** Special Needs Housing

**Funding Allocated:** MHDC's 2016 QAP states: "MHDC will endeavor to set aside at least 33% of federal and state LIHTCs for projects containing units qualifying under the special needs housing priority." \$8,639,055 in HOME Funds \* 33% = \$ 2,850,888

**Goal Outcome Indicator:**

- Rental Constructed Units – 90\* Household Housing Units
- Rental Units Rehabilitated – 90\* Household Housing Units

### **Goal 7: HOPWA**

**Description:** One year goals for the number of households to be provided housing through the use of HOPWA.

**Category:** Affordable Housing; homeless; Non-Homeless Special Needs

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility

**Objective:** Create Suitable Living Environments

**Geographic Areas Included:** Statewide Housing

**Priority Needs Addressed:** Special Needs Housing

**Funding Allocated:** \$543,784

**Goal Outcome Indicator:**

- Short-term rent, mortgage, and utility assistance payments – 160
- Tenant-based rental assistance – 140
- Total - 300

### **Goal 8: Job Training/Creation**

**Description:** Increase the number of people provided with new or improved availability/accessibility of economic opportunity through job creation, retention and business infrastructure assistance to for-profit companies.

**Category:** Non-housing community development

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility

**Objective:** Create economic opportunity

**Geographic Areas Included:** Statewide, non-entitled

**Priority Needs Addressed:** Economic Development

**Funding Allocated:** \$5,000,000

**Goal Outcome Indicator:**

- Number of Jobs Created or Retained: 500

#### **Goal 9: Public Infrastructure & Improvement**

**Description:** Increase the number of people with new or improved accessibility, availability, or quality of suitable living environments through construction/rehabilitation of public facilities to benefit areas with an LMI percentage of 51% or higher.

**Category:** Non-housing community development

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility/Suitable Living Environment

**Objective:** Create a Suitable Living Environment

**Geographic Areas Included:** Statewide, non-entitled

**Priority Needs Addressed:** Public Improvements and Infrastructure

**Funding Allocated:** \$10,675,000

**Goal Outcome Indicator:**

- Number of people served with Public Infrastructure & Improvement activities other than Low to Moderate Housing benefit: 15,000

## **Goal 10: Public Facilities**

**Description:** Increase the number of people provided with new or improved sustainability of suitable living environments through slum and blight reduction, emergency assistance and other rehabilitation of existing public facilities in LMI areas.

**Category:** Non-housing community development

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility/Suitable Living Environment

**Objective:** Create a Suitable Living Environment

**Geographic Areas Included:** Statewide, non-entitled

**Priority Needs Addressed:** Public Facilities

**Funding Allocated:** \$3,625,000

**Goal Outcome Indicator:**

- Number of people served with Public Facilities activities other than Low to Moderate Housing benefit: 20,000
- Number of blighted structures demolished: 30

## **Goal 11: CDBG Disaster Recovery**

**Description:** Increase the number of people provided with new or improved sustainability of suitable living environments through slum and blight reduction, emergency assistance and other rehabilitation of existing public facilities in LMI areas.

**Category:** Non-housing community development

**Start Year:** 2016

**End Year:** 2016

**Outcome:** Availability/Accessibility/Suitable Living Environment

**Objective:** Create a Suitable Living Environment

**Geographic Areas Included:** Statewide: Areas declared under Presidential Disaster Declarations eligible for FY12 (DT) and FY13 (DS) CDBG Disaster allocations

**Priority Needs Addressed:** Public Facilities, Infrastructure, Housing, Economic Revitalization, Planning

**Funding Allocated:** \$11,300,000 (\$3,700,000 DT and \$7,600,000 DS)

**Goal Outcome Indicator:** As project accomplishments will occur upon completion of awarded activities, we do not anticipate any of the potential awarded activities to be completed during FY16.

- Number of people served with Public Facilities/Infrastructure/Economic Revitalization/Planning activities: 0
- Number of affordable housing units: 0

## **Method of Distribution**

### ***Introduction***

As the state housing finance agency, MHDC is dedicated to strengthening communities and the lives of Missourians through the financing, development and preservation of affordable housing. MHDC administers the state and federal LIHTCs, HOME funds, the MHTF and the ESG. As such, annual allocations are made in accordance with the QAP and other allocation plans approved by MHDC Commissioners. The programs outlined below represent MHDC's goals for the next year in terms of production, preservation, homeless prevention and housing assistance.

MHDC has been designated as Missouri's administrator for the NHTF, monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

The Department of Economic Development's use of CDBG is based almost entirely on local need, which is demonstrated to the Department via an application process, which is described below. Local need, capacity, past performance and ability to leverage other funding all factors into the evaluation process for CDBG, on top of the basic CDBG thresholds of national objective and eligibility.

### ***Distribution Methods – HOME***

Currently MHDC uses its HOME Funds in two ways: multi-family rental production and rehabilitation and homeowner rehabilitation.

The multi-family HOME allocation is part of MHDC's larger rental production and rehabilitation application process, and its annual HOME allocation is used to finance rental production at a very low interest rate. Rental applications are reviewed according to primary and secondary thresholds, selection criteria as described in the 2016 QAP, and the geographic priority. Currently, MHDC attempts to utilize 33% of LIHTC's in the St. Louis region, 19% in the Kansas City region, and the remaining 48% in the "Out State Region." Finally, MHDC allocates a minimum of 15% of its HOME allocation to Community Housing Development Organizations (CHDO).

The homeowner rehabilitation program – HeRO – has its own application process. MHDC will award HeRO funds based on a statewide competition. All applications will be reviewed and compared based on the items described in the application, and each item will be reviewed and a score determined at MHDC's sole and absolute discretion will be assigned. Once scores are calculated, the applications shall be ranked in order of the highest score to the lowest score and funding will be based upon such ranking relative to population and potential number of qualified households in geographical areas including but not limited to low and moderate income households struggling with domestic violence. HeRO funds are exclusively used in non-metropolitan areas or areas that have been declared as a disaster area.

### **Application Selection Criteria**

For the rental production and rehabilitation program, applications will be evaluated using Section 42 requirements:

- Those serving lowest income tenants,
- Those serving qualified tenants for the longest period, and
- Projects located in Qualified Census Tracts, the development of which contributes to a concerted community revitalization plan.

Additionally, MHDC's 2016 QAP outlines the following additional housing priorities:

- Non-profit set aside,
- Special needs housing,
- Service enriched housing,
- Preservation,
- The utilization of MBE WBE developers,
- Extended use developments,
- Developments setting aside units for tenants living at or below 50% AMI,
- Workforce housing,
- Transit oriented developments,

- Proposed developments that are part of a community’s redevelopment plan, and
- Proposed developments that are located in high opportunity areas

The HeRO program will evaluate applications based primarily on the written policies and procedures documenting the organization’s intended implementation which includes, among other things: requirements for household participation, household application process, intended rehabilitation activities, lead hazard reduction requirements, the marketing plan, rehabilitation standards, appraisal process, and contractor participation qualifications.

### **Resource Allocation among Funding Categories**

MHDC currently intends to allocate 15 – 20% of the yearly state allocation to the homeowner rehabilitation program, 10% for administrative purposes, and the remaining amount to the rental production and rehabilitation program.

### **Threshold factors and grant size limits**

Currently there is no grant/loan size limit for the rental production and rehabilitation program, but MHDC utilizes its HOME funds as gap-financing for larger developments. Ideally, MHDC would like its individual HOME fund allocations to be a small but important part of these developments. The exception is with the CHDO developments; because these projects are often much smaller than a private developer’s, HOME is often the only funding source.

The homeowner rehabilitation program also does not have a grant limit for the sub-grantees, but MHDC works to stretch these funds as far as possible across the state, so we grant based on the quality of applications/applicants and the number of applications submitted. Currently there is a \$22,500 limit on improvements made to homes.

### **Expected outcome measures as a result of the method of distribution**

The homeowners’ rehabilitation program, HeRO, is expected to fund between 80 and 90 homes throughout the state of Missouri.

Based on current funding levels, MHDC expects to develop 96 newly constructed rental units and 90 rehabilitated rental units.

### ***Distribution Methods – ESG***

ESG is distributed based on an annual allocation plan that is approved by MHDC Commissioners.

## **Application Selection Criteria**

Individual scores by program may be assessed for: completeness of the application, extent to which the applicant demonstrates an understanding of the HEARTH Act and ESG regulations, past performance, strength of program design, implementation strategy, unmet need, data used to describe need, procurement of outside resources, organizational experience, financial reporting, extent to which program serves 100% homeless persons, collaboration with local Continuum of Care plans to end homelessness and priorities, match funds available, amount of funds requested, and measureable performance goals and objectives.

## **Process for awarding ESG funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations**

MHDC will conduct annual application trainings and will be available for any application questions. Once the applications are received, MHDC will score each application based on the criteria listed above and will make recommendations to the Department of Social Services and MHDC Commissioners. The recommendations will be based on the state allocation plan approved annually for ESG funds.

## **Resource Allocation among Funding Categories**

Missouri will allocate ESG funds as required under McKinney-Vento as amended by the HEARTH Act. The allocation of ESG funds will be informed through consultation with each Missouri Continuum of Care. Consultation with each CoC will take place through a standing agenda item for the Governor's Committee to End Homelessness (GCEH) meetings which include representation from all Missouri CoC's and at the local level, through the individual meetings of each CoC.

## **Threshold Factors and Grant Size Limits**

City/County sub-grantees are eligible to apply for up to \$50,000 per sub-grantee totaling \$150,000. Direct non-profit applicants are eligible to apply for up to \$50,000 per grant application. Direct non-profits that serve multiple counties within a Continuum of Care may apply for up to \$50,000 per county, for a total of up to \$100,000. Entitlement areas receiving their own ESG allocation from HUD are eligible to apply for up to \$50,000. This information is reflected in the allocation plan that is approved by MHDC Commissioners, which incorporates the Missouri CoC boundaries.

### **Expected outcome measures as a result of the method of distribution**

Due to the emphasis on performance, each ESG grantee will be held to the outcome and performance measurements established by the Continuum of Care they belong to as required by the HEARTH Act. Outcome measures will be developed through consultation with each Missouri Continuum of Care through discussion within the GCEH meetings and at the respective meetings of each Missouri CoC. The consultation with each CoC will assist in developing outcomes that are appropriate for all target populations, including but not limited to survivors of domestic violence, homeless youth, and chronically homeless individuals.

### ***Distribution Methods – HOPWA***

The Section for Disease Control and Environmental Epidemiology within the Missouri Department of Health and Senior Services (MDHSS) has provided Ryan White Part B funded services and access to care for Missourians with HIV disease since 1986. The grantee receives federal funds (CDC prevention funding, Ryan White Part B and HOPWA funding) and state general revenue funds to provide leadership and contractual efforts to maintain a system of case management, core medical services and support services throughout the state to persons living with HIV disease. Healthcare Strategic Initiatives (HSI) is the MDHSS fiscal intermediary agent providing direct payment for Ryan White Part B, HOPWA and ADAP services. This contractual agreement has been in place since 1994 and has a proven record of accuracy, efficiency, with timely and quality services. The HOPWA formula region is considered “Outstate” in Missouri, which are 114 counties total other than 7 counties in the Kansas City region and 6 counties in the St. Louis region. The major metropolitan areas, St. Louis and Kansas City, receive their own competitive HOPWA funding so collaboration with these programs is necessary to ensure no cross payments occur between grantees. The HOPWA program provides housing assistance to Missouri residents living with HIV/AIDS and their families in the non-metropolitan and extreme rural regions in Missouri who are enrolled in the Ryan White Case Management program.

In Missouri, Ryan White HIV Medical Case Management is available to all HIV diagnosed individuals that are at or below 300% of the Federal Poverty Level. Ryan White Part B funded case managers perform all eligibility requirements for enrollment into the case management system of care. Part of the assessment process is to identify needs that are unmet for core services; payer sources, income, medications and supportive services that include housing assessments to identify clients with a housing need to prevent homelessness. Most clients who are enrolled in the Ryan White HIV Medical Case Management system enter at a level of no income, very low income or low income, and will be referred to programs to meet their identified unmet needs. If housing assistance

is identified as an unmet need the required housing plan can be created while the client is present so it is a collaborative effort with actions steps for the client to achieve. The documentation process is entered through a client statewide electronic database that many other Ryan White service providers also use to collect client level data for core and support services. This enables the case manager to quickly and efficiently document all relevant information regarding the client for future reference to ensure the most accurate information is available in the system. For direct housing entities not using the statewide database clients are referred directly to the agency including but not limited; to Section 8, Shelter Plus Care, Emergency Shelter Grant, and other state and local resources.

The Case Management program also provides a Positive Start program to enroll HIV positive inmates to prepare them for release and access to care in Missouri. There are three Transitional Case Managers (TCM) strategically located in Missouri that can access the prison systems. The Positive Start Program is a time limited intensive case management service that assists state incarcerated PLWH/A to gain and maintain access to a range of medical, social, family, and support services to become self-sufficient upon their return to the community. The Positive Start Program consists of two phases. The two phases are Transitional Connections and Outside Connections. Transitional Connections begins six months prior to scheduled release and includes planning for access to HIV medical care, medication adherence counseling, consultation on healthy lifestyles, and prevention counseling. Outside Connections begins upon release and includes intensive medical case management for up to six-month post release. Resources will be identified to ensure access to medical care and support services to assist the ex-offenders. PLWH/A clients can be referred to medical or non-medical case managers after this six month post release period, if needed.

For any related housing needs other than HOPWA STRMU or TBRA, Ryan White funding is accessed as a leveraging source to ensure the availability of HOPWA funds for direct housing costs. The Ryan White assistance is identified in the same way through assessment and housing plans when the client meets with their HIV case manager and serves as a stop gap measure to ensure stable housing for all clients. Having the same case manager serve the client for all of their HIV needs supports the continuum of care model Missouri has created.

The MDHSS collaborates with and provides technical assistance to community based organizations, medical and non-medical providers and other Ryan White funded programs. Of the clients currently enrolled, 84% are below 100% of the Federal Poverty Level. HOPWA provides tenant based rental assistance and short term rent, mortgage

and utility assistance for a limited number of families who live in rural communities throughout the state of Missouri who have limited or no resources or are unable to qualify for other programs due to prior poor rental history or criminal background. The program also focuses on short-term mortgage temporary assistance for homeowners experiencing immediate difficulty meeting their mortgage payment, which occurs when a working PLWH faces illness and resultant loss of employment income. The HOPWA program funding provides no supportive services, housing placement, housing development, administration, or management services. The State of Missouri does not have any project sponsors.

### ***Distribution Methods – CDBG***

#### **General Requirements**

- 1) **Eligible Applicants:** The State will distribute \$20,789,141 in FY2016 CDBG funds to "units of general local government" in non-entitlement areas (incorporated municipalities under 50,000 and counties under 200,000). Cities and counties in Missouri that are not eligible for these non-entitlement funds are: Blue Springs, Columbia, Florissant, Independence, Jefferson City, Joplin, Kansas City, Springfield, St. Charles (city), St. Joseph, Lee's Summit, St. Louis (city), Jefferson County and the cities within Jefferson County who have elected to participate in the County entitlement program (Arnold, Pevely, Herculaneum, Festus, Crystal City, Kimmswick, De Soto, Olympian Village, Hillsboro, Byrnes Mill), St. Charles County and the cities within St. Charles County who have elected to participate in the County entitlement program (Cottleville, Dardenne Prairie, Lake St. Louis, St. Paul, St. Peters, Weldon Spring, and Wentzville), St. Louis County, and the cities within St. Louis County who have elected to participate in the County entitlement program (Ballwin, Bella Villa, Bellefontaine Neighbors, Bellerive, Bel-Nor, Bel-Ridge, Berkeley, Beverly Hills, Breckenridge Hills, Brentwood, Bridgeton, Calverton Park, Charlack, Cool Valley, Country Club Hills, Dellwood, Edmundson, Ellisville, Eureka, Fenton, Ferguson, Flordell Hills, Glen Echo Park, Glendale, Greendale, Hanley Hills, Hazelwood, Hillside, Jennings, Kinloch, Kirkwood, Mackenzie, Maplewood, Maryland Heights, Moline Acres, Normandy, Northwoods, Norwood Court, Olivette, Overland, Pagedale, Pasadena Hills, Pasadena Park, Pine Lawn, Richmond Heights, Riverview, Rock Hill, St. Ann, St. John, Sycamore Hills, University City, Uplands Park, Valley Park, Velda Village, Velda Village Hills, Vinita Park, Vinita Terrace, Webster Groves, Winchester, and Woodson Terrace).

**Eligible Activities:** Section 105(a) of the Community Development Act and HUD regulations specified the activities that are eligible for CDBG assistance. A general listing of eligible activities is below, and a detailed description is provided in 105(a) of the Act and in 24 CFR 570.482. While all activities may be eligible, some program categories may prioritize the funding of some activities:

1. Property Acquisition
2. Property Disposition
3. Property Clearance
4. Architectural Barrier Removal
5. Senior Center
6. Community Facilities
7. Centers for the Handicapped
8. Historic Properties
9. Water Treatment
10. Sanitary Sewer Collection
11. Storm Sewers
12. Flood and Drainage Facilities
13. Streets (or Roads)
14. Street Accessories
15. Parking Facilities
16. Bridges
17. Sidewalks
18. Pedestrian Malls
19. Recycling or Conversion Facilities
20. Parks and Recreation Facilities
21. Fire Protection/Facility Equipment
22. Solid Waste Disposal Facilities
23. Other Utilities
24. Public Service/Supportive Services
25. Rehabilitation of Private Residential Properties
26. Rehabilitation of Public Residential Properties
27. Payments for Loss of Rental Income
28. Relocation
29. Code Enforcement
30. Energy Use Strategy
31. Non-Federal Share Payment
32. Interim Assistance
33. Planning
34. Commercial or Industrial Facilities
35. Administration
36. Engineering/Design
37. Housing Rehab Inspection
38. Engineering/Construction Inspection

40. Audit
41. Port Facility
42. Airports
43. Natural Gas Lines
44. Electrical Distribution Lines
45. Rail Spurs
46. Security Lighting
47. Other Professional Services
48. Security Fencing
49. Site Preparation
50. Purchase Land/Building
51. Facility Construction Renovation
52. Machinery/Equipment
53. Working Capital
54. Sewage Treatment
55. LDC Homeownership Assistance – up to \$15,000 to purchase a new home
56. Legal
57. 911 Emergency Systems
60. Homeowners Assistance – up to \$5,000 to purchase an existing DSS home
61. Lead-Based Paint Evaluation
62. Asbestos Removal
63. Job Training\*
64. Home-Ownership Counseling
65. Substantial Reconstruction of private residential properties on same lot – up to \$15,000
66. Water Distribution
67. Lead Reduction NOT incidental to rehab
68. Asbestos Inspection

\*Job training activities must be approved by the Division of Workforce Development or the Workforce Investment Board.

Ineligible Activities are as follows:

- a) Maintenance or operation costs. \*\*
- b) General government expenses.
- c) Political activities.
- d) Improvements to city halls and courthouses, except those required to meet the Americans with Disabilities Act.

- e) Purchase of equipment, except for fire protection, public services, landfills, or recreation.
- f) Income payments, except for loss of rental income due to displacement.
- g) Application preparation costs or a bonus award for writing a successful application.
- h) Religious purposes.

**\*\* Maintenance and Operation Costs:** Any cost that recurs on a regular basis (generally, less than five years) is considered a maintenance or operation cost, therefore ineligible for CDBG assistance. It is the responsibility of the applicant to provide these revenues from user fees or taxes. Additionally, if such maintenance or operation revenues are not sufficient to adequately support a facility or service assisted by CDBG funds, the project will not be awarded. The determination whether such revenues are sufficient will be made by the applicant's professional engineer, the Department of Natural Resources (for related projects), and/or DED. The preliminary engineering report required for all public works projects should discuss the revenues available for operation and maintenance of the facility or service.

- 2) **Application Submission:** Only one application may be submitted in any individual category by a city or county on behalf of itself. A city may submit one other application for activities to be carried out on behalf of a sub-recipient public body or an incorporated non-profit agency. A county may submit two other applications for activities to be carried out on behalf of a sub-recipient public body or an incorporated non-profit agency. In all instances, the application **must represent the applicant's community development or housing needs.** An applicant (or sub-recipient) must have legal jurisdiction to operate in (or serve) the proposed project area (or beneficiaries). Proof must be submitted with the application. As the grantee, the city or county has final responsibility for the project implementation and compliance. There is no limit on the number of applications that may be submitted for economic development and emergency projects. The State reserves the right to place a limit on grants under its interim financing program. All applications must be submitted on forms prescribed by DED and in accordance with the guidelines issued for each program. While an applicant may be selected as a grantee, the final grant amount and scope of activities may be modified by DED.
- 3) **Application Request Limits:** The following are the minimum and maximum amount of funds an applicant may request per application:

Application Category	Minimum application amount	Maximums
Water and Wastewater  Eng. facility plan/plans & specs grants	\$10,000  \$5,000	\$500,000 or \$5,000/\$7,500household (see water/wastewater section for details)  <b>80% of amount equal to ASCE table, not to exceed \$50,000</b>
Community Facility	\$10,000	\$250,000 or \$5,000/household
Early Childhood Education	\$10,000	\$1,000,000 or \$5,000/household
General Infrastructure	\$10,000	\$500,000 or \$5,000/household
Demolition	\$10,000	\$125,000 for residential demolition only \$250,000 including commercial demolition
Microenterprise/Redevelopment RLF	\$10,000	\$150,000 or \$15,000/job
Emergency	N/A	\$500,000 or \$5,000/household

**NOTES RELEVANT TO PROGRAM CATEGORIES**

- For economic development, the maximum CDBG funds allowed per project, combining the Industrial Infrastructure grant and Action Fund loan, may not exceed \$2 million. The maximum CDBG funds (not including float loans) outstanding for any company (or related companies, including parent, subsidiaries, or ownership of 51% or more in a company), regardless of location in Missouri, may not exceed \$3 million. The amount outstanding is based on the principal amount remaining for loans, or, for infrastructure grants, the original grant amount with a 10-year declining basis.
- Housing demolition only applications are limited to \$125,000; if commercial demolition is included the maximum application is raised to \$250,000. Commercial demolition only

is also set at a maximum of \$250,000. For commercial properties in the demolition application, the owner of the commercial property is responsible for 20% of the demolition costs for that property. All properties must be vacant and infeasible to rehabilitate.

- Engineering facility plan/plans and specs applications must meet LMI national objective and project must be listed on Missouri Department of Natural Resources Intended Use Plan or have a USDA Rural Development letter of conditions. An invitation to apply must be obtained from DED prior to submission of application.

#### **Low and Moderate Income Requirements:**

- a) Low and moderate income (LMI) is defined for the CDBG program as 80% of the median income of the county. The most recent available HUD Section 8 income limits specified by county are applicable to the CDBG program.
- b) At least 51% of the beneficiaries of a public facility/public project activity must be low and moderate-income (LMI) persons and families, and 100% of the beneficiaries of housing activities must be LMI. At least 51% of the hookups of a project funded under the water and wastewater category must also be residential. At least 51% of the beneficiaries of economic development projects must be low and moderate-income persons.
- c) Emergency projects must meet the test of Section 104(b)(3) of the Act which states *"...activities which the grantee certifies are designed to meet community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs..."*
- d) Funding for certain projects may utilize the **limited clientele** criteria outlined in the regulation for meeting the required national objective criteria. Those persons defined as limited clientele are automatically considered to be primarily (51%) LMI. Further guidance can be found at 24 CFR 570.208 of September 6, 1988, and published state guidelines.
- e) The estimated amount of CDBG funds which will benefit LMI persons is \$18,365,467 or 94.3% of the non-administrative allocation for FY2016. HUD requires that a minimum of 70% of the state's annual allocation be awarded on projects benefiting primarily LMI persons; however, Missouri has certified that it will meet the 70% LMI benefit requirement in aggregate over the three year period 2014 - 2016. The 2016 percentage is derived by the following calculations:

<b>Total Grant</b>	<b>\$20,789,141</b>
State Administration	- \$515,783
State Technical Assistance	- \$207,891
Estimated local administration	- \$600,000
<b>Total non-administrative funds</b>	<b>\$19,465,467</b>
<b>Non-LMI Benefit</b>	
Emergency - Urgent Threat	\$500,000
Demolition - Slum/Blight	\$600,000
<b>Total non-LMI benefit</b>	<b>\$1,100,000</b>
<b>LMI Benefit</b>	
Total non-administrative funds	\$19,465,467
Total non-LMI benefit	- \$1,100,000
<b>Total LMI benefit</b>	<b>\$18,365,467</b>
Total non-administrative funds	÷ \$19,465,467
<b>Percent total estimated LMI benefit</b>	<b>94.3%</b>

4) Performance Requirements for Grantees:

- a) Any grantee with a delinquent audit for any year, whether or not the grant is closed, is ineligible to apply for funding. This applies to all CDBG categories. The exception to this is for those counties that have delinquent audits, but are audited by the State Auditor's office. Also, a grantee with any **open** project awarded prior to March 15, 2014, which is not **closed** by March 15, 2016, is ineligible to apply in **any** FY2016 funding category. All documentation necessary for close-out must be received by March 1, 2016. This may apply to the grantee or the on behalf of applicant(s), whichever is applicable.
- b) All CDBG applicants will be required to submit a Schedule of Projected Expenditures as part of the CDBG application process. This schedule outlines by quarter and by activity the estimated timeline for expenditures of the grant award, if selected for funding. If awarded funding, grantees are required to submit any updates to expenditure projections on a quarterly basis, or in any event where the original timeline for full expenditure will be revised to a future date.

- c) CDBG grant agreements will have a specified end date; this end date will be three years from the award date of the grant. If the grant is not completed by the end of the three year period, the grantee must:
  - i. deobligate any remaining funds, or
  - ii. request a one year extension from DED. This extension must be for cause, and documentation as to why the project was not completed within the required three year period must accompany the request. **Extensions are not automatic.** DED will grant no more than two one-year extensions to a project.
- 5) In addition, a grant applicant with a current project which has an outstanding monitoring finding made prior to February 1, 2016 and notified of by February 15, 2016 and which is unresolved at the time of application deadline, will have a five-point deduction made in the scoring of the application. Additional points may be deducted for missing application forms or other required application steps. Certain applications deficiencies may result in ineligibility. A list of all potential deficiencies, resulting in point deductions or ineligibility, will be provided as part of the application.
- 6) Contingent Funding: If an applicant proposes other state, federal, local, or private funds, or any other contingency item, **which are unconfirmed at the time of application**, they will be ineligible for FY2016 funds, except for otherwise specifically categories. The only other exceptions are bond elections, tax credit donations, and where referenced in the categories in the application. Applicants should notify DED of election results within a week of the election. If election fails, the application will be withdrawn from the consideration.
- 7) Affordable Rents: The state must provide criteria for *affordable rents* according to CFR 570.208(a)(3) as published September 6, 1988. The state will use HUD's Section 8 assisted Housing Program Fair Market Rents for this purpose.
- 8) First-time Homebuyer: The term first-time homebuyer means an individual or an individual and her or his spouse who have not owned a home during the prior 3-year period. A first-time homebuyer may purchase a home with CDBG downpayment assistance, except that:
  - a) Any individual who is a displaced homemaker may not be excluded from consideration as a first-time homebuyer under this guideline on the basis that the individual, while a homemaker, owned a home with her or his spouse or resided in a home owned by the spouse;
  - b) Any individual who is a single parent may not be excluded from consideration as a first-time homebuyer under this guideline on the basis that the individual, while married, owned a home with her or his spouse or resided in a home owned by the spouse; and

- c) An individual shall not be excluded from consideration as a first-time homebuyer under this guideline on the basis that the individual owns or owned, as a principal residence during such 3-year period, a dwelling unit whose structure is –
  - i. not on a permanent foundation in accordance with local or other applicable regulations, or
  - ii. not in compliance with state, local, or model building codes, or other applicable codes, and cannot be brought into compliance with such codes for less than the cost of constructing a permanent structure, or
  - iii. a mobile home, not attached to a permanent foundation, and which is not considered real estate by the state.

The household may not own another residence even if that residence is rented.

In addition, recovering victims of catastrophic loss (e.g., the death of the family’s principal wage earner, a failed self-employment business situation, loss of employment due to factory shutdown or an employer’s reduction in force), victims of domestic violence that are legally separated from their spouses, and households who have purchased a home on a contractual basis but would otherwise qualify are also eligible as first-time homebuyers.

- 9) Displacement Policy: The state will discourage applicants from proposing displacement, unless a feasible alternative exists. Alternatives will be reviewed for feasibility, and technical assistance will be provided to applicants in order to minimize displacement. If displacement must occur, assistance under one of the following will be provided, depending upon the circumstances: the Uniform Relocation Assistance and Real Property Acquisition Act of 1970, as amended; Section 104(d), Section 104(k), or 105(a)(11) of the Housing and Community Development Act, as amended.
- 10) Program Income: Program income is the gross income received by a grantee or its sub-recipient from any grant-supported activity.
  - a) Program income includes, but is not limited to:
    - i. Income from fees for services performed;
    - ii. Proceeds from the sale of commodities or items fabricated under a grant agreement;
    - iii. Income from the sale or rental of real or personal properties acquired with grant funds;
    - iv. Payments of principal and interest on loans made with grant funds, including payback on deferred loans.

- b) If interest is earned on grant funds for any calendar year, the interest must be returned to the U.S. Treasury through DED.
- c) Uses of program income:
  - i. Program income shall be used prior to draw down of additional active grant funds unless a reuse plan has been approved prohibiting same;
  - ii. Used in accordance with requirements of Title I of the Housing and Community Development Act;
  - iii. If generated by activities other than economic development loans, the expenditure shall be used for block grant eligible activities as approved by the state; and
  - iv. Program income generated by economic development loans shall be returned to the state.
- d) Local governments shall report the receipt and expenditure of program income to the Department of Economic Development as of June 30 and as of December 31 of each year, within fifteen days after each date.

11) Professional Services: An applicant has the option to select their engineer, architect, or administrator for their CDBG project prior to the preparation of an application or after a grant is awarded. They must, however, comply with state established procedures in their procurement practices if CDBG funds are to be used to finance such services. If the services are engineering or architectural, an applicant must comply with RSMo 8.285-8.292, unless a similar policy has been enacted by the applicant. If CDBG funds will be used for such professional services, there will be a maximum cost based on prescribed standards as follows:

- a) Engineering Design – standards set by ASCE Manual #45, pages 37 to 42. Engineering costs calculated per Table A or B (from this manual) should depend on the complexity of the project.
- b) Architectural Design – 10% of construction costs.
- c) Construction Inspection – 75% of the cost of engineering design (a) or architectural design (b).
- d) Administration - 4% of the non-administrative CDBG project costs plus \$10,000 (water/wastewater, community facility, demolition); 4% of the non-administrative CDBG project costs plus \$10,000 (economic development industrial infrastructure); up to 4% of the non-administrative CDBG project costs plus \$10,000 (emergency – DED has discretion to offer up to the maximum administration which will depend on the complexity of the project and the relevance of all compliance areas ); 4% plus \$5,000

(microenterprise). There are no administration funds offered from CDBG for engineering plans and specification or planning projects. These amounts represent the maximum amounts available for CDBG projects. The state reserves the right to apply less money to a project of low complexity. It is not DED policy to include administration funding on loan projects (Action Fund, Speculative Building, Interim Financing). Administrative costs related to loan projects are generally a local responsibility.

- i. Administration funding includes **all** components of CDBG grant administration including, but not limited to, environmental review, financial management, procurement, contract management, labor standards, and equal opportunity/fair housing.
  - ii. If a grantee wishes to commence the environmental review process prior to grant approval, but wants those costs to be eligible for CDBG if the project is funded, the grantee must pre-select a grant administrator in accordance with CDBG procurement requirements, who will then either prepare the environmental review or subcontract it to another firm or individual. If the project is awarded CDBG funds, and this procurement of grant administrator meets minimum CDBG requirements, the portion of the administration cost related to environmental review will then be an eligible CDBG cost. If the project is not awarded CDBG funds, any such costs are the responsibility of the grantee.
- e) Audit – as required.
- f) Other Professional Services – negotiated.
- g) Demolition inspection – \$425/unit

Note: One firm or any principal or employee thereof cannot perform both engineering and administrative services on the same grant, regardless of source of payment. Professional services amounts will be based upon and approved for CDBG activities only.

The final rule of the new federal procurement regulations appeared in the April 19, 1995, Federal Register. This Public Law 103-355 replaces OMB-102, 24 CFR Part 85.36, and the common rule regarding procurement. If a state does not wish to adopt PL 103-355, which raises the maximum for small purchases bidding for goods or services from \$25,000 to \$100,000, it must formally adopt statewide standards or use specific rules under the CDBG program. For FY2016, the requirements of PL 103-355 apply to the CDBG program, **except** the threshold requirements for small purchases shall remain at \$25,000.

12) Timely expenditure of funds. HUD measures the:

- Obligation rate of funds (95% @ 12 months and 100% @ 15 months) and,

- Expenditure rate of funds (a percentage of the amount of funds available in the line of credit as compared to the total annual award amount; not to exceed 2.0-2.5)

The State achieves the required obligation ratios. However, the State does not always achieve the targeted expenditure rate of 2.0-2.5 measured at each month-end. It is imperative that recipient communities draw and spend the funds in a responsible time period. This requires close attention to project management.

13) Department of Economic Development direction, outcomes, and desired uses of funds:

- Priority for CDBG will be those projects making an economic impact to the community: increased jobs, increased private investment, and/or increased local revenue streams;
- Flexible, eligible uses of CDBG funds to meet the demands of the difficult and changing economic climate are important. The public is encouraged to suggest program opportunities consistent with the priorities listed above, and the Department may enlist them as amendments to this plan.

***CDBG FUNDS DISTRIBUTION***

14) Distribution Among Categories: The estimated amount of CDBG funds the state will receive from the Department of Housing and Urban Development for FY2015 is \$20,789,141.

Category	Allocation	Percentage
Water and Wastewater	\$7,215,467	34%
Community Facility	\$2,000,000	10%
Demolition	\$600,000	3%
General Infrastructure	\$2,750,000	13%
Emergency	\$500,000	3%
Early Childhood Education (subcategory of Community Facilities)	\$2,000,000	10%
Economic Development	\$5,000,000	24%
State Administration	\$515,783	2%
State Technical Assistance	\$207,891	1%
<b>TOTAL</b>	<b>\$20,789,141</b>	<b>100%</b>

- a) Categorical Adjustment - The Department of Economic Development retains the ability to transfer up to 10% of the total CDBG allocation for use as needed among categories. An adjustment of more than 25% of the total allocation, or the creation/elimination of a category will require a substantial amendment of this plan. The amount for state administration may not exceed \$100,000 plus 2% of the total allocation. The Department reserves the right to allocate up to 1% of the total annual amount for technical assistance activities in accordance with the Department Housing and Urban Development regulations.

Other Funds Distribution - Funds recaptured or otherwise reallocated from a previous fiscal year CDBG, state and HUD allocation may be allocated to any program category as determined by the Department. DED reserves the right to increase any category listed in the chart above with available program income and/or recaptured funds from prior year allocations by adding to the amount available at the deadline or accepting applications on an open cycle basis, pending availability and timing of those recaptured funds. Any additional funding added to a category may result in increased thresholds such as amount per household or amount per project.

- b) Program income may be added to any project category as needed. Program income received from interim financing projects shall be used to honor previous funding commitments. The state may use up to 2% of all program income for state administration.
- c) The maximum amount of FY2016 funds that will be awarded for Interim Financing projects will be \$10,000,000 for 12, 18, and 24-month loans. The Department may extend the individual term of any interim financing loan beyond the agreed upon period subsequent to the Department's written determination and justification of the need for and feasibility of such an extension. The total amounts of CDBG funds committed to interim financing projects will not exceed \$12,000,000, in aggregate (including past years' allocations), regardless of any extensions of the loan term.
- d) In the event the amount received from HUD is different from the amount identified in this document, the difference will be reflected as closely as feasible to the percentages above.

15) **Selection Criteria by Category**: The criteria used to select the projects in the various CDBG programs are presented below. Detailed guidance is provided in application materials developed for each program.

## General Application Definitions

**NEED** refers to the extent to which adequate documentation supports the actual demand.

**IMPACT** refers to the extent to which the project impacts a significant portion of the population defined in need.

**LOCAL EFFORT** measures the extent to which local support is offered to the project as compared to what is available to offer.

**PAST EFFORTS** are defined as all previous actions taken by the applicant to address the need.

**HEALTH AND SAFETY** measures the relationship between actual existing or the likeliness of the potential of physical harm to the population defined in the need. Third party documentation rather than general statements enhances the scoring in this category.

**STRATEGY** is the extent to which the method chosen to fix the problem represents the most efficient and effective solution while maintaining a direct relationship to solving the need. A clear, fair representation of examination of alternatives leading to the final strategy chosen is requested with each application.

**RESILIENCE** is defined as the ability to anticipate, prepare for, and adapt to changing conditions and withstand, respond to, and recover rapidly from disruptions. Such disruptions may include, for example, a local drought, a precipitous economic change, social unrest or riots, short-term or intermittent failure or under-performance of infrastructure such as the electrical grid. Resilience may be incorporated into the project strategy.

**COST EFFECTIVENESS** is measured as the extent the applicant has undertaken to evaluate the best possible cost for the result. This measure is often calculated as cost per beneficiary from total project costs and cost per beneficiary from CDBG costs only.

**OPERATION AND MAINTENANCE** is measured by the actual documented process for which a budget, experienced personnel, and written plan are available and provided in the application.

**PROJECT READINESS** is measured by the actual upfront administrative work completed that provided the ability of the project to begin immediately after award.

**LEVERAGING** is defined as the percentage of local funds dedicated to the project in relation to what the applicant's budget/financial statement shows.

**IN-KIND CONTRIBUTION** is defined as the non-cash local effort that is committed to the project by the applicant. A clear indication of value for labor and equipment should be included to substantiate the total amount offered.

**USE** is defined as the volume and frequency of use by the population benefiting from the project.

**REPETITIVE PROPERTY DAMAGE** is the actual number of times and the frequency (can be last 10 years) that damage has occurred.

**ECONOMIC IMPACT** refers to the impact that the completed project will have on the local economy. This consists of increased jobs, increased private investment, and/or increased local revenue stream. The project must contribute by positively impacting the conditions that allow these measures to increase.

**MEASURABLE OUTCOMES OR GOALS** is the degree to which the applicant has the ability to measure the impact and success. The need, strategy, and goals and ways to measure success should be intertwined and clearly represented in the application. For each application incorporating a resilience building component, a distinct series of measurable outputs and outcomes related to the resiliency component must be included in the application and subsequently included in project reporting.)

**ENVIRONMENTAL IMPACT** is the degree to which the problem or need has a documented negative impact on the environment. Environment takes a broad definition that includes all of the issues related to the National Environmental Policy Act (NEPA) process.

**TMF NEED** stands for local need for technical, managerial, and financial capacity related to the operation of a water and wastewater system

**TMF CAPACITY INCREASE** is the extent to which the project will naturally cause an increase in the technical, managerial, and financial capacity related to the operation of a water or wastewater system.

**FUTURE SUSTAINABILITY** is the likelihood that the project will be functional and operational well into the future and will perpetuate its own growth.

## Application Categories

### *Water and Wastewater – Construction funds*

Cycle – Open cycle based on availability of funding. Maximum award \$500,000 or \$5,000 per family benefitting, whichever is less. At Department discretion, for communities with fewer than 100 families benefitting, the maximum grant is \$500,000 or \$7,500 per family benefitting, whichever is less.

National Objective - Minimum 51% LMI benefit for community-wide or target area projects. LMI benefit may be documented by HUD census data or survey conducted in accordance with prescribed standards.

Eligible Activities - Water and wastewater activities only, including treatment, distribution, and collection. Normal operation and maintenance activities are not eligible. Projects must benefit 51% or more residential units.

Application Procedure - Applicants anticipating the use of state and/or federal funds to finance water or wastewater system improvements must complete a preliminary project proposal, consisting of a two-page summary and preliminary engineering report. Each project proposal will be reviewed by the Missouri Water and Wastewater Review Committee (MWWRC). The MWWRC is comprised of the Missouri Department of Economic Development (Community Development Block Grant Program), Missouri Department of Natural Resources (State Revolving Fund), and the U.S. Department of Agriculture (Rural Development). The MWWRC review process will occur as follows:

- a) An original and five copies (**six total**) of the project proposal are submitted to one of the MWWRC agencies.
- b) Upon receipt, the receiving agency distributes the project proposal to the remainder of the MWWRC members.
- c) The committee meets monthly. Proposals received by the first of the month will be reviewed during that month's meeting.
- d) Following its review, the MWWRC will reply to the applicant by written correspondence. This correspondence shall include a summary of the MWWRC comments pertinent to the technical, operational, or financial aspect of the project proposal. Substantive comments by the MWWRC must be resolved prior to receiving a recommendation from the MWWRC. A recommendation from the MWWRC will state the appropriate agency or multiple agencies from which to seek financial assistance. However, a recommendation from the MWWRC does not assure funding from each appropriate

agency. Each agency on the MWWRC will receive a copy of all correspondence stated above.

- e) Each funding agency will follow its own full application process. Applicants seeking funding from multiple agencies must submit a full application to each particular agency.
- f) If a full application varies significantly from the recommended project proposal, or if the facts have changed such that the feasibility of the proposed warrants further investigation, any member of the MWWRC may request that the project be reviewed again.
- g) Assistance will be recommended only to the extent necessary to complete project activities over and above local efforts, and for solutions considered appropriate and feasible by the MWWRC.

If a project proposal receives a recommendation from the MWWRC, a full CDBG application is required for submission. The following selection criteria will be used in reviewing the full application.

Selection Criteria – Applications scoring a minimum of 65 points will receive a recommendation for award.

The primary project review for water or wastewater is the MWWRC process, and consists of interagency financial and technical review by finance staff and engineers. Successful completion of the MWWRC process results in an award of 50 points to an application. CDBG staff will continue to evaluate the applications for completeness and missing documents.

16) *MWWRC Review (50 points)* – Applicants successfully completing the MWWRC process will receive 50 points, based on need for grant funding, project/engineering strategy and rate structure. Points include up to 5 points for resiliency component.

17) *Local Effort (25 points)*

0-15 pts – Leveraging: Leveraging is defined as the percentage of local funds dedicated to the project in relation to what the applicant’s budget/financial statement shows available.

0-05 pts – Taxes: Tax score is defined as the revenues or taxes the applicant receives divided by population and per capita income, and multiplied by 100.

0-05 pts – In-Kind Contribution: Points are awarded to applicants committing in-kind or non-cash related services to the project.

18) *Past (CDBG) performance (5 points)*

CDBG priorities for water and wastewater are defined as:

- Lack of existing needed facility (Tier 1 Priority): Needed facility represents elimination of a threat and safety and at the same time is offered to a community that has the TMF capacity to own it.
- System Failure (Tier 1 Priority): Not related to poor operation and maintenance; failure proven to the degree of documentation – DNR support.
- Obsolescence of an existing facility – not defined as “design life” (Tier 2 Priority): Asbestos pipe, lead, radionuclides
- Regulatory requirements which mandate improvements (Tier 2 Priority): Differentiate between abatement orders versus abatement due to poor operation and maintenance.
- Natural or manmade disaster (Tier 2 Priority): Defining manmade to include pollution or contamination, not poor operation and maintenance.
- Improper design of existing facility (Tier 3 Priority): Definition must include what it is causing.
- Significant and unexpected growth (Tier 3 Priority): Economic development driven, regionalization, and government driven.
- Comprehensive, strategic, or capital improvement plan (Tier 3 Priority)
- Inherent social/economic factors (Tier 3 Priority): Unemployment, age, LMI.
- Potential or anticipated growth (Tier 4 Priority)
- Improper maintenance (Tier 4 Priority)

**Pre-agreement costs** – DED encourages the earliest possible completion of the CDBG environmental review for water/wastewater projects. MWWRC proposals that include CDBG will be encouraged to commence the CDBG environmental review at the time of the initial response letter from the MWWRC. See Section 11(d)(ii) above regarding preselection of grant administration services, including environmental review preparation.

### ***Water and Wastewater – Engineering facility plan/plans and specs grants***

Cycle - Open cycle based upon availability of funds. Maximum \$50,000 or 80% of the ASCE table. **If an applicant is awarded a plans/specs CDBG grant and also a later grant for project**

**construction, the maximum aggregate CDBG total is \$500,000. The amount of the plans/specs grant will be deducted from the maximum allowable on the project construction grant.**

National Objective - Minimum 51% LMI for community wide or target area projects. LMI benefit may be documented by HUD census data or survey conducted in accordance with prescribed standards.

Eligible activities – Allows for procurement of a professional engineer to complete the facility plan and plans and specifications necessary for progress in the State Revolving Loan Fund Intended Use Plan process to access loan funds, or must have a Letter of Conditions (LOC) from USDA-Rural Development. Applicants must be on the IUP or have the LOC from USDA and must demonstrate an inability to finance the engineering. Eligible costs include engineering costs only, no administration.

Selection Criteria –

19) *MWWRC Review (50 points)* – Applicants successfully completing the MWWRC process will receive 50 points, based on need for grant funding, project/engineering strategy and rate structure. Points include up to 5 points for resiliency component.

20) *Local Effort (30 points)*

0-15 pts – Leveraging: Leveraging is defined as the percentage of local funds dedicated to the project in relation to what the applicant’s budget/financial statement shows available.

0-05 pts – Taxes: Tax score is defined as the revenues or taxes the applicant receives divided by population and per capita income, and multiplied by 100.

0-10 pts – TMF and in-kind

Priorities for all Water/Wastewater Projects: Projects that have achieved a responsible level of local participation by pursuing their debt capacity; projects that have initiated a responsible rate structure that provide adequately for operation and maintenance, employee overhead, debt service, reserve, and emergency funding; projects that represent a solid history of operation and maintenance; projects that can indicate the use of CDBG funds will provide rate affordability; projects that meet threats to health and safety.

***Community Facility***

Cycle – Application deadline – April 1, 2016. Competitive process. Maximum \$250,000 or \$5,000 per family benefitting.

National Objective - Minimum 51% LMI benefit for community-wide or target area projects. LMI benefit may be documented by HUD census data, survey conducted in accordance with prescribed standards, or Limited Clientele if criteria met.

Eligible Activities – Senior center, day care center, community center, youth center, telecommunications, emergency 911, health center and all eligible activities designed to provide a service or group of services from one central location for a prescribed area of residents or users. This may include the infrastructure necessary to support the facility as well.

Selection Criteria –

*21) Need (35 points)*

0-07 pts – Health and Safety

0-07 pts – Education

0-07 pts – Lack of Existing Facility

0-06 pts – Number of Potential Users

0-04 pts – Economic Impact

0-04 pts – Measurable Outcomes or Goals

*22) Impact (35 points)*

0-10 pts – Strategy (up to 3 points for resilience component)

0-10 pts – Cost Effectiveness

0-10 pts – Operation and Maintenance

0-05 pts – Project Readiness

*23) Local Effort (25 points)*

0-15 pts – Leveraging: Leveraging is defined as the percentage of local funds dedicated to the project in relation to what the applicant’s budget/financial statement shows available.

0-05 pts – Taxes: Tax score is defined as the revenues or taxes the applicant receives divided by population and per capita income, and multiplied by 100.

0-05 pts – In-Kind Contribution: Points are awarded to applicants committing in-kind or non-cash related services to the project.

*24) Past Efforts (5 points)*

0-05 pts – Past efforts are defined as all previous actions taken by the applicant to address the need.

### ***General Infrastructure***

Cycle – Proposal deadline April 1, 2016, with full applications due upon invitation from DED. Maximum \$500,000 or \$5,000 per family benefitting.

National Objective - Minimum 51% LMI benefit for community-wide or target area projects. LMI benefit may be documented by HUD census data or survey conducted in accordance with prescribed standards. Slum/blight removal is also possible national objective.

Eligible Activities – Eligible activities which are not addressed with an existing CDBG funding category.

Priorities – Infrastructure activities meeting a defined and documented community need.

#### Selection Criteria –

##### *25) Need (35 points)*

0-07 pts – Health and Safety

0-07 pts – Number of Persons Impacted

0-07 pts – Documentation of Problem

0-07 pts – Economic Impact

0-07 pts – Measurable Outcomes or Goals

##### *26) Impact (35 points)*

0-10 pts – Strategy (up to 3 points for resilience component)

0-10 pts – Cost Effectiveness

0-10 pts – Operation and Maintenance

0-05 pts – Project Readiness

##### *27) Local Effort (25 points)*

0-15 pts – Leveraging: Leveraging is defined as the percentage of local funds dedicated to the project in relation to what the applicant's budget/financial statement shows available.

0-05 pts – Taxes: Tax score is defined as the revenues or taxes the applicant receives divided by population and per capita income, and multiplied by 100.

0-05 pts – In-Kind Contribution: Points are awarded to applicants committing in-kind or non-cash related services to the project.

*28) Past Efforts (5 points)*

0-05 pts – Past efforts are defined as all previous actions taken by the applicant to address the need.

***Demolition (Residential/Commercial)***

Cycle – Application deadline – April 1, 2016. Competitive process. Maximum \$125,000 for residential demolition; \$250,000 if commercial demolition is included. The maximum for commercial demolition (without residential) is also \$250,000.

National Objective – slum/blight removal (spot basis).

A structure is blighted when it exhibits objectively determinable signs of deterioration sufficient to constitute a threat to health, safety, and public welfare.

Communities participating in this activity must, at a minimum, determine blighted structures by declaring the use of an existing dangerous building ordinance, building code level of violation or applicable occupancy or habitability designation and applying such ordinance, code violation, or designation in a manner consistent with the definition. The ordinance, code violation or designation must be applied to the specific structure, not to the area as a whole. The predominance of blight in an area does not allow blight to be assumed for each structure inside the area.

Eligible activities – Demolition, demolition inspection, asbestos inspection, asbestos removal, administration.

Threshold Criteria - The Section 106 review (with SHPO) must be completed prior to application submission.

Selection criteria:

**1) Need and Impact (45 points)**

0-20 pts – Number of units proposed compared to total dilapidated units, both occupied and vacant (2D/D+DX)

0-20 pts – Number of units proposed compared to the total number of vacant dilapidated units (2D/DX)

0-05 pts – Number of units proposed for demolition as a percent of total vacant units (2D/total X)

2) **Community Assets/Efforts (10 points)**

0-04 pts – Past clean up activities by community

0-02 pts – Community organizational participation in this project

0-04 pts – Applicant’s future actions to control property maintenance and unsafe structures long term plan

3) **Leveraging (15 points)**

0-15pts – Document \$1,000 cash or in-kind match for each unit proposed for demolition

Commercial property owners must commit 20% of the demolition costs of their structure in writing as a cash commitment

4) **Strategy (30 points)**

0-10 pts – Interest of applicant and property owners; code enforcement

0-05 pts – Demolition need vs. other strategies; overall strategy

0-10 pts – Project readiness; ready to start/capacity to complete

0-05 pts – Size/cost/hazardous waste (especially asbestos) identified; cost effectiveness

***Emergency***

Cycle – Open cycle based on availability of funding.

Minimum criteria (other than items previously mentioned in this document) - The need must be a serious threat to health or safety, be immediate, have developed or greatly intensified within the past 18 months, and be unique in relation to the problem not existing in all other communities within the state. Natural disasters are allowable under this program. Also, the applicant must lack the resources to finance the project. Only the **emergency** portion of a project will receive assistance. The applicant must exhaust its resources before CDBG funds may be used.

## ***Economic Development***

Cycle – Open cycle based on availability of funding. Approval is based on compliance with eligibility criteria and availability of funds. The minimum eligibility criteria stated below will vary on different types of businesses based on the projected economic impact, such as proposed wages, spin-off benefits, and projected industry growth. The specific eligibility criteria for each type of business will be stated in the program guidelines. When multiple CDBG funding tools are used for a project, CDBG funding from all programs is limited to \$25,000 per job. For purposes of any CDBG economic development project, a “start-up” company is defined by DED as being a company with a financial history of three years or less.

**Economic Development Industrial Infrastructure** - Grants for the improvement of public infrastructure, which cause the creation or retention of full-time permanent employment by a private company(s) benefiting from the infrastructure.

CDBG funding is limited to \$20,000 per job to be created, and a maximum grant of \$2 million. For CDBG Industrial Infrastructure applications in which the participating company meets the definition of a “start-up company” (as defined by DED), the maximum allowable CDBG award will not exceed the lesser of:

- 50% of the cost of the public infrastructure activity(ies),
- \$20,000 per job to be created, or
- \$350,000

For all CDBG industrial infrastructure projects, see below for required local government financial participation.

The use of CDBG funds in Economic Development projects is not an entitlement and the per job maximums and total grant maximums are measures **not to exceed**. All projects will be evaluated on the least amount necessary to achieve the deal.

In addition, an assisted company must pledge and document private investment toward the total project costs (public and private costs combined) in an amount no less than the CDBG funds awarded for the project.

Local government grantees are required to participate financially in the public infrastructure to the maximum extent possible within their means. That amount may be no less than 15% of the total CDBG funding requested from DED. The 15% may be provided in a combination of cash or in-kind. It may be used for the same public infrastructure activity as proposed for CDBG or it may be documented from another public infrastructure activity necessary to support, and

included in, the same defined project for the same company location or expansion.

The local government participation must be committed by letter at the proposal stage, if applicable and, or by resolution in the application. In addition, please see above restrictions on the maximum amount available when the participating business is a start-up company.

If the local government does not have the funds to meet the 15% requirement or does not have a means to access the funds, documentation and a request may be provided to DED to waive this requirement. DED reserves the right to accept or deny any waiver request and limit its participation to no more than 85% of any public infrastructure cost, regardless of the formula calculation of benefits.

The Department has established manufacturing industries as the priority beneficiary of economic development infrastructure funding. However, certain service industries and incubators are eligible to participate in economic development infrastructure projects. Retail firms are not eligible to participate.

The use of CDBG economic development infrastructure funding is generally limited to publicly owned infrastructure. However, privately owned infrastructure may be addressed with CDBG funding when 1) regulated as a public utility; 2) is a unique circumstance when private funding is unavailable to address the infrastructure; and 3) the project will result in high impact to the local economy in terms of job creation and private investment.

**Missouri Rural Economic Opportunities Infrastructure Grant** - Grants for public infrastructure (including facilities if the facility is either publicly or nonprofit owned) for projects intending to facilitate significant transformation of the local economy and the creation or retention of full time permanent employment by a private company benefitting from the infrastructure. The development must be unique to the region and must:

- Include activities that add value to the existing economic circumstances and create jobs and investment, and
- Use existing assets of the local economy and transition those assets in such a manner that creates jobs and investment and
- Add a technological component to an asset of the local economy and
- Include either a federal partnership/participation or university partnership/participation.

CDBG funds are limited to \$50,000 per job created, and up to a maximum of \$1 million CDBG participation per project. CDBG funds may not be the majority share of funds in the total project costs.

Local government grantees are required to participate financially in the public infrastructure to the maximum extent possible within their means. That amount may be no less than 15% of the total CDBG funding requested from DED. The 15% may be provided in a combination of cash or in-kind. It may be used for the same public infrastructure activity as proposed for CDBG or it may be documented from another public infrastructure activity necessary to support, and included in, the same defined project for the same company location or expansion.

The local government participation must be committed by letter at the proposal stage, if applicable and, or by resolution in the application.

If the local government does not have the funds to meet the 15% requirement or does not have a means to access the funds, documentation and a request may be provided to DED to waive this requirement. DED reserves the right to accept or deny any waiver request and limit its participation to no more than 85% of any public infrastructure cost, regardless of the formula calculation of benefits.

The Department has established manufacturing, research, and technology industries as the priority beneficiary of these funds. However, certain service industries and incubators are eligible to participate in a Rural Opportunities Infrastructure project. Retail firms are not eligible to participate.

The use of CDBG funds is generally limited to publicly owned infrastructure. However, privately owned infrastructure may be addressed with CDBG funding when 1) regulated as a public utility, 2) is a unique circumstance when private funding is unavailable to address the infrastructure, and 3) the project will result in high impact to the local economy in terms of job creation and private investment.

LMI Job creation/documentation (for Rural Economic Opportunities Grant only):

The method for achieving the required CDBG National Objective benefit for low and moderate income may be accomplished by either: (1) Counting and recording jobs "held by" individuals with household incomes at or below 80 percent of median household income, or (2) Counting and recording jobs "made available to" individuals with household incomes at or below 80 percent of median household income.\*

\*The acceptable means to accomplish documentation and process for "making jobs available

to" LMI persons requires the applicant to establish a relationship with the local career center to list, qualify, and refer LMI persons to the company(s) for application. That relationship shall take place in the form of a letter and concurrence between the parties that establishes the intent of job referral, the process of referral, and the records of those referrals. Evidence of the letter and concurrence and referral records of potential employees must be kept with the project files.

**Action Fund** - Loans, equity investments, or other type investments may be made to a **private company** for buildings, equipment, working capital, land, and other facilities or improvements in order to cause a project to occur which will result in the creation or retention of full-time permanent employment. Selection shall be determined by the need for assistance through a financial analysis of the company, and the documentation of the public benefit to be derived from the project. **CDBG funds are limited to the lesser of \$400,000 per project, 50% of the project costs, and a maximum CDBG cost per job created or retained of \$35,000. For start-up companies, CDBG funds are limited to the lesser of \$100,000 per project, 30% of the project costs, and a maximum CDBG cost per job created or retained of \$25,000.** The interest rate of the loan will to be determined by DED. The term of the loan will be determined by cash flow projections that will allow for the fastest repayment of principal and interest, but not more than 20 years or the depreciable life of the collateral assets. Working capital loans will have a term not to exceed 10 years. Nonprofit, public or quasi-public entities are not eligible to participate in the Action Fund program.

The Department has established manufacturing industries as the priority beneficiary of the Action Fund program. However, certain service industries are eligible to participate in the Action Fund program. Retail firms are not eligible to participate.

**Interim Financing (Float)** - Loans by grantee to a company for buildings, equipment, working capital, land, and other facilities or improvement where appropriate, in order to cause the creation or retention of a full-time employment. Basis of selection shall be the economic impact of the project and the amount of funds necessary to cause the project to occur. **Loans are limited to 30% of the project costs, \$25,000 per job created or retained, or \$1 million per project, whichever is less. For start-up companies, loans are limited to 30% of the project costs, \$25,000 per job created or retained, or \$100,000 per project, whichever is less.** Loans must be secured by a Letter of Credit from a financial institution acceptable to DED or other acceptable collateral. The grantee shall be made aware of the policy of state recapture of program income.

The Department will continue to offer a program that uses CDBG funds that may be already obligated to projects, but not distributed. Such a program puts such funds at an element of risk. The applicant for interim financing programs shall be made aware of the policy for local

retention of program income. Activities which may be performed in this program may include, but are not limited to, interim construction financing and other incentives for the creation of jobs, primarily for low and moderate income persons. No more than \$10,000,000 per funding year will be obligated, in aggregate, for all float funded projects.

**Revolving loan fund/Microenterprise:** Loans by a grantee (or multiple grantees) to a business with less than five existing employees (including owners) for up to \$25,000 per business, or 70% of the project cost, whichever is lower. Funds may be used for machinery and equipment, working capital, land, and buildings. Loans to more than one company may be included in one grant to a city or county. At least one full-time equivalent job must be created or retained for each \$15,000 in loan proceeds with 51% or more to be low and moderate-income persons. RLF for redevelopment purposes may be considered as well, if the proposed RLF is part of a defined redevelopment effort.

**Job Training:** A grantee may request funds to subcontract with a qualified non-profit or public entity to provide job training to persons who will be or are presently employed by a company (for profit or nonprofit). The funds would be used only for instructors, materials, or related training aids and expenses thereof. The maximum grant per company would be \$100,000, or \$2,000 per new job created, whichever is less. At least 51% of the new jobs created/retained must be low and moderate-income persons.

### **Geographic Distribution**

This report is being written to reflect needs and assets throughout the state; subsequently, the goals articulated in this section are written from the same statewide perspective. MHDC does not allocate HOME funds based on a geographic distribution however the 2016 QAP lays out geographic objectives for allocation of the LIHTC funds. As the state housing finance agency, it is the goal of MHDC to “provide high quality affordable housing with long-term viability that contributes to the community”. The one notable exception to the geographic allocation is the Home Repair Program (HeRO); a portion of the state HOME funds administered by MHDC used exclusively for homeowners in non-metropolitan areas throughout Missouri.

The departments of Economic Development and Health & Senior Services do not direct CDBG and HOPWA funding, respectively, on a geographic basis. Funding is based primarily on need.

### ***Rationale for the priorities for allocating investments geographically***

As the state housing finance agency, MHDC does not routinely target specific areas of the state for allocations above and beyond the regional goals stated in the QAP (an exception was the 2012 funding round targeted for rebuilding Berkeley and Joplin after the spring 2011 tornado). The 2016 QAP lays out the following regional goals: utilize 33% of state and federal LIHTC's in

the St. Louis region, 19% in the Kansas City region, and the remaining 48% in the “Out State Region.” MHDC serves the entire state of Missouri. The rental production and rehabilitation program takes other priorities into consideration within the state such as: special needs housing, service-enriched housing, preservation and Qualified Census Tracts (QCT).

For the homeowner rehabilitation program, MHDC allocates its funds to the non-entitlement areas of the state because these communities typically do not have the federal funds available to larger metropolitan areas of the state.

### ***Discussion***

Missouri’s Consolidated Plan is written to reflect the housing, homelessness, economic development, and HIV/AIDS needs of the whole state. Because of this, the geographic areas are broad and all-encompassing because the state agencies are charged with meeting those needs of the non-entitlement areas. Some of the programs, such as HOME and ESG are also able to invest in metropolitan areas of the state.

## **Affordable Housing**

### ***Introduction***

As the state housing finance agency, MHDC is dedicated to strengthening communities and the lives of Missourians through the financing, development and preservation of affordable housing. MHDC administers the state and federal LIHTCs, HOME funds, the MHTF and the ESG funds. As such, allocations are made in accordance with annual allocation plans approved by MHDC Commissioners. The 2016 one year goals represent units/households served through HOME funds and LIHTC funds allocated for special needs housing units. The homeless one year goals include households served with CoC funds and incorporate the information from overnight shelter numbers. The one year rental assistance goal includes households served with CoC permanent housing program funds.

### ***One year goals for the number of households to be supported***

**Homeless:** 752 households per year

**Non-Homeless:** 96 households per year

**Special Needs:** 186 households to be supported per year

**TOTAL: 1,034\***

### ***One year goals for the number of households supported through:***

**Rental Assistance: 600**

**The production of new units: 61 units**

**Rehab of existing units: 35 units**

**Acquisition of existing units: 0 units**

**TOTAL: 696\***

### ***Discussion***

In Missouri, the goal is to safely reduce the length of stay for families and individuals in shelter in order to create opportunities for them to be rapidly re-housed. All currently existing emergency services will continue but will do so with the intent to ultimately transition persons into a more stable and permanent environment. This model removes the traditional tiered system that offers limited services and imposes unnecessary requirements on individuals and families in order to obtain permanent housing. Missouri recognizes that there will always be specific populations that require emergency shelter and services - including but not limited to homeless youth, survivors of domestic violence and homeless individuals struggling with substance abuse - but hopes to incorporate ways to safely shorten these episodes of homelessness and move individuals and families directly into a permanent housing situation through rapid re-housing.

MHDC has been designated as Missouri's administrator for the NHTF. The amount of funding available through NHTF has not been determined; the number of units projected to be built and/or rehabilitated will increase proportionally with the amount of funding received through NHTF for projects that include units eligible to receive NHTF funding.\*

## **Public Housing**

### ***Introduction***

As the state housing finance agency, MHDC does not manage or oversee funds to any of the 100+ Public Housing Authorities throughout the state. We will continue to work with the Public Housing Authorities to house Missouri's low-income households to the extent that our HOME Funds allow.

Pending litigation has not yet finalized the Project Based Contract Administrator (PBCA) for the state of Missouri.

### ***Actions planned during the next year to address the needs to public housing***

MHDC does not own or operate assisted housing units directly. MHDC is committed to working with the PHAs throughout the state, ensuring that the needs of the residents are met.

### ***Actions to encourage public housing residents to become more involved in management and participate in homeownership***

MHDC does not own or operate assisted housing units directly.

For those PBCA properties within our portfolio, MHDC's Resident Relations department acts as a liaison between the residents and management companies. As a liaison MHDC staff encourages communication between all interested parties to ensure that resident input is considered and access to management is improved. A toll-free hotline number is posted at all PBCA properties for resident use in cases where an issue is not resolved in a timely manner. MHDC staff provides follow up to make certain issues are resolved.

### ***If the PHA is designated as troubled, manner in which financial assistance will be provided or other assistance***

MHDC does not own or operate assisted housing units directly. MHDC is committed to working with the PHAs throughout the state, ensuring that the needs of the residents are met.

### ***Discussion***

As the state housing finance agency, MHDC does not manage or oversee funds to any of the 100+ Public Housing Authorities throughout the state. We will continue to work with the Public Housing Authorities to house Missouri's low-income households to the extent that our HOME Funds allow.

## **Homeless and Other Special Needs Activities**

### ***Introduction***

MHDC's Community Initiatives Department is tasked with drastically reducing and ultimately ending homelessness in Missouri. MHDC's Community Initiatives Department administers a variety of programs for this purpose: the MHTF, ESG program, CoC, Housing First program, HMIS funding, Disaster Relief Funding, statewide homeless study, and the Special Needs Housing priority through the LIHTC program. Oversight from one department for the majority of the homeless assistance programs throughout Missouri allows targeting of funds, consistency of program goals and policies and helps reduce and ultimately end homelessness in Missouri.

***One-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs***

Missouri will conduct one annual Point-In-Time-Count (PITC) to assess the needs of our sheltered and unsheltered homeless individuals and families. All Missouri CoC represented on the GCEH have voted to conduct one count per year on the same night in order to reduce duplication of PITC numbers across the state. MHDC and the GCEH request that county leaders performing the PITC also incorporate street outreach and needs assessments into that process.

The Project Homeless Connect is a method of outreach and needs assessment that continues to grow throughout the state. In 2015, over 10 cities throughout Missouri utilized the model as a way to provide outreach and assessment to individuals not seeking traditional services. As CoC's continue to establish Coordinated Entry systems they will include additional actions for reducing and ending homelessness by reaching out to homeless individuals and families.

***Addressing the emergency shelter and transitional housing needs of homeless persons***

In Missouri, the goal is to safely reduce the length of stay for families and individuals in shelter in order to create opportunities for them to be rapidly re-housed. All currently existing emergency services will continue but will do so with the intent to ultimately transition persons into a more stable and permanent environment. This model removes the traditional tiered system that offers limited services and imposes unnecessary requirements on individuals and families in order to obtain permanent housing. Missouri recognizes that there will always be specific populations that require emergency shelter and services - including but not limited to homeless youth, survivors of domestic violence and homeless individuals struggling with substance abuse - but hopes to incorporate ways to safely shorten these episodes of homelessness and move individuals and families directly into a permanent housing situation through rapid re-housing.

***Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individual and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again***

In Missouri, the goal is to safely reduce the length of stay for families and individuals in shelter in order to create opportunities for them to be rapidly re-housed. All currently existing emergency services will continue but will do so with the intent to ultimately transition persons into a more stable and permanent environment. This model removes the traditional tiered system that offers limited services and imposes unnecessary requirements on individuals and families in order to obtain permanent housing. Missouri recognizes that there will always be specific populations that require emergency shelter and services - including but not limited to homeless youth, survivors of domestic violence and homeless individuals struggling with substance abuse - but hopes to incorporate ways to safely shorten these episodes of homelessness and move individuals and families directly into a permanent housing situation through rapid re-housing.

The term special needs is defined in MHDC's 2016 QAP as: "a person who is (a) physically, emotionally, or mentally impaired or suffers from mental illness; (b) developmentally disabled; (c) homeless including survivors of domestic violence and sex trafficking; or (d) a youth aging out of foster care". The 2016 QAP continues the goal of setting aside 33% of federal and state LIHTCs for the development of affordable housing for special needs populations.

***Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs***

It is the intent of the Missouri Discharge Policy (adopted by the GCEH in December of 2011) to ensure that all individuals discharged from a state or public facility are discharged into permanent housing; if such housing is not available, plans to place the individual in temporary or emergency shelter must be made prior to discharge. Every effort is made through careful discharge planning to work with the client and area resources to seek adequate, permanent housing. In no instance should a person be discharged from a state or public facility with directions to seek housing or shelter in an emergency shelter without having first made every effort to secure permanent housing.

### ***Discussion***

MHDC's Community Initiatives Department is committed to reducing and ultimately ending homelessness throughout the state of Missouri; as the administrator for the ESG funds, HOME and MHTF monies, and the Housing First program, MHDC is able to prioritize funds and foster

state-wide cooperation in an effort to meet that commitment. Statewide PITCs increase the knowledge on the state of homelessness in Missouri, and efforts such as the statewide discharge policy and the special needs housing priority actively work to keep those most vulnerable safely housed.

MHDC has been designated as Missouri's administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

### **HOPWA Goals**

One year goals for the number of households to be provided housing through the use of HOPWA for:

- Short-term rent, mortgage, and utility assistance payments – 160
- Tenant-based rental assistance – 140
- Total - 300

### **Barriers to Affordable Housing**

#### ***Introduction***

Noted barriers – low AMI, limited funding for affordable home ownership and rental production programs, limited funding for long term rental assistance and the MHTF, the concentration of low income housing in certain communities throughout the state, as well as the continued loss of available affordable housing stock – will continue to define MHDC's priorities, programs and areas for improvement over the course of the next year. To the extent that it is possible, strategies for overcoming these obstacles should act as the impetus for changes in the QAP process, HOME and LIHTC allocations, the ESG and MHTF programs.

#### ***Actions planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing***

Notable changes in the 2016 QAP were made to address barriers to housing choice. Funding priorities were created for developments built in high opportunity areas, developments that meet service enriched criteria, and developments that are included in a community redevelopment plan. All new construction projects shall be designed and built in accordance

with the principles of universal design. New language, with some exceptions, in the 2016 QAP sets a limit on new affordable construction to 50 units or less, prohibits development within a one mile radius of affordable housing approved within the previous two funding cycles, and prohibits development in areas where subsidized housing constitutes more than 20% of the existing available housing stock. MHDC will continue to work with stakeholders to ensure that low and moderate income Missourians have access to affordable housing throughout the state.

Using a statewide average median income for determining eligibility for the LIHTC program expands the number of working families and seniors who would qualify to live in a LIHTC unit. This simple programmatic change would keep vacancy rates low in LIHTC developments in some rural communities and help more families benefit from the affordable rents provided by LIHTC apartments.

As affordable units age, deterioration removes them from the available stock; replacing those units with safe, decent, and sanitary affordable housing is critical to ensuring that moderate, low and very low income households continue to live and work in both rural and urban communities. In the 2016 QAP, MHDC lists preservation of affordable housing as a funding priority. Through the QAP process, MHDC is able to direct funds and change priorities based on where the greatest need presents itself and will continue to do so.

Recent data on LIHTC tenants show that more than 40% of LIHTC tenants are considered extremely low income – and more than 70% of those tenants receive some form of additional rental assistance. Programs such as ESG and the MHTF allow MHDC to utilize funds for this purpose.

MHDC has been designated as Missouri's administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. In accordance with the NHTF rule (24 CFR Parts 91 and 93), MHDC will develop and publish an allocation plan for the NHTF and will seek public comment on the plan prior to finalization.

The Missouri Statewide Fair Housing Impediments Analysis 2013-2014 underlined the fact that housing discrimination continues despite education and improved housing access for some communities; for low income renters, that discrimination is most pronounced. For some populations, discrimination can, to an extent, be mitigated. The Violence Against Women Reauthorization Act (VAWA) of 2013 expands housing protections to eligible residents living in LIHTC developments. MHDC's Asset Management team is working with properties to educate them about their responsibilities and will provide further guidance as it becomes available from HUD. MHDC requires all affordable developments to adopt fair housing marketing plans prior to the issuance of funding; that requirement will extend to all NHTF properties once that allocation is finalized. Creating more affordable housing options for all Missourians, educating

our property managers about fair housing choice, and improving access to affordable housing resources for those who need it, will continue to drive MHDC's work.

### ***Discussion***

As the state housing finance agency, MHDC is dedicated to strengthening communities and the lives of Missourians through the financing, development and preservation of affordable housing. The primary tools used to meet these goals include state and federal LIHTCs, HOME funds, the MHTF and the ESG. Annual allocations are made in accordance with annual allocation plans approved by MHDC Commissioners. Priorities are set to reflect the most pressing housing needs for the state at that time. The programs outlined below represent MHDC's goals for the next year in terms of production, preservation, homeless prevention and housing assistance.

## **Program Specific Requirements**

### ***Introduction***

The Program Specific Requirements section looks at how MHDC, the Department of Economic Development and the Department of Health and Human Services administer the statewide federal funds.

### ***HOME Investment Partnership Program (HOME)***

**1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

MHDC does not utilize its HOME funds for any forms of investment outside of those listed in Section 92.205.

**2. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

MHDC does not currently use its HOME funds to refinance existing debt.

### ***Emergency Solutions Grant (ESG)***

**1. Written standards for providing ESG assistance:**

Grantees/sub-grantees must develop and implement written standards that must include:

- Standard policies and procedures for evaluating individuals' and families' eligibility for assistance.

- Policies and procedures for coordination among emergency shelter providers, essential service providers, homelessness prevention and rapid re-housing assistance providers, other homeless assistance providers, and mainstream service and housing providers.
- Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families will receive rapid re-housing assistance.
- Standards for determining the share of rent and utilities costs that each program participant must pay, if any, while receiving homelessness prevention or rapid re-housing assistance.
- Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time.
- Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participants receives assistance; or the maximum number of times the program participants may receive assistance.
- If funding essential services related to street outreach; standards for targeting and providing these services.
- If funding any emergency shelter activities; policies and procedures for admission, diversion, referral and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations and persons with the highest barriers to housing.

If the grantee's CoC, or a portion of their CoC, currently has a centralized or coordinated assessment system and the grantee or any sub-grantees utilize the centralized or coordinated assessment system, the recipient must describe the assessment system and how they will participate.

**2. If the Continuum of care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized of coordinated assessment system**

All eight Missouri Continua are actively in the process of establishing a Coordinated Entry system for their CoC. The Balance of State Continuum of Care is working on establishing the best coordinated intake system for a large rural CoC through a pilot project in region ten of the Continuum. The results of the guiding principles established and system organization for region ten will inform and create a template for the other nine regions in the Balance of State.

**3. Process for making sub-awards to private nonprofit organizations (including community and faith-based organizations)**

The Department of Social Services sub-contracts the state ESG funds to MHDC. MHDC has a competitive application process annually in which units of local government and nonprofit organizations can apply for funds. The state of Missouri allocation is also available at a capped amount to other ESG entitlement communities in the state. Funding is distributed based on the approved allocation plan. Funding recommendations are approved by the Department of Social Services and MHDC Commissioners.

**4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG**

It is a requirement of each MHDC sub-grantee receiving ESG funding that homeless or formerly homeless individuals are involved in the decision-making body of the organization. This requirement is articulated in MHDC's applications for funding and subsequent grant agreements.

**5. Performance standards for evaluating ESG**

As stated in the HEARTH Act the ESG and CoC programs must collaborate on the creation of performance standards. The ESG program is working to align its performance standards with each Missouri CoC as they are developed. The performance standards will be determined through consultation with each Missouri Continuum of Care through discussion within the GCEH meetings and at respective meetings of each Missouri CoC.

***Discussion***

Data throughout the 2013-2017 Consolidated Plan, the Joint Center for Housing Studies and MHDC's Housing Needs Assessment Report, 2015, continue to show more people are paying

more of their income for housing. Research from Harvard University shows that “the number of cost-burdened renters set a new high in 2013” impacting just under one-half of all renter households. When looking at cost burden and the availability of affordable units, low, very low and extremely low income renters bear the brunt of those challenges. “Excluding units that were structurally inadequate or occupied by higher-income households, there were only 34 affordable units for every 100 extremely low income renters” in 2013 according to Harvard research. The statistics suggest not only that more funds are needed for housing assistance but more fundamentally, more affordable housing units are needed.

As the state housing finance agency, MHDC is dedicated to strengthening communities and the lives of Missourians through the financing, development and preservation of affordable housing. MHDC administers the state and federal LIHTCs, HOME funds, the MHTF and the ESG funds. MHDC has been designated as Missouri’s administrator for the National Housing Trust Fund (NHTF), monies that target very low and extremely low income households. As the state’s largest producer of affordable housing, MHDC is acutely aware of its role in ensuring that all eligible Missourians have access to affordable housing and that such housing is built and preserved in communities where it is needed most. The process by which the funds to do that are allocated must be flexible and reflective of the individuals served through our programs. MHDC is committed to working with stakeholders to ensure that such collaboration continues.

### **Citizen Participation**

Before the draft 2015 Action Plan was published, the State held an informational meeting on 9-24-14, to inform the public of the plan to assess the housing & community development needs of the non-entitlement areas of the state. Information was provided about how the State planned on assembling the data, projected budgets and activities anticipated by the programs covered by the plan.

#### **A public meeting was held at the following location and time:**

**Thursday, November 5, 2015**

**10:00 a.m. – 12:00 p.m.**

Harry S Truman Building, Room 750

301 W. High Street

Jefferson City, MO 65101

**In addition, there was a webinar meeting on November 5, 2015 from 1:30 pm to 3:00 pm.** Staff from the agencies will discuss the annual Consolidated Plan process and the individual programs, and take questions from callers. The webinar was accessible at the link below:

<http://stateofmo.adobeconnect.com/ndrcwebhearing/>

No written comments were received during the public comment period.

# Certifications

## STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

**Affirmatively Further Fair Housing** – The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Acquisition and Relocation** – The State will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24.

**Anti-Displacement and Relocation Plan** – The State has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** – The State will or will continue to provide a drug-free workplace by:

- 1) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
- 2) Establishing an ongoing drug-free awareness program to inform employees about -
  - a) The dangers of drug abuse in the workplace;
  - b) The grantee's policy of maintaining a drug-free workplace;
  - c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
- 3) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1.
- 4) Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - a) Abide by the terms of the statement; and
  - b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
- 5) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such

conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.

- 6) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - b) Requiring such employee to participate satisfactorily in drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency.
- 7) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti Lobbying** – To the best of the State's knowledge and belief:

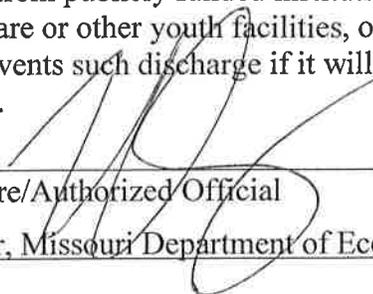
- 8) No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 9) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 10) It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

**Authority of State** – The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** – The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** – It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

**Discharge Policy** – Each department of Missouri state government that discharges people from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) has a policy that prevents such discharge if it will immediately result in homelessness for such persons.

  
\_\_\_\_\_  
Signature/Authorized Official

3/1/16  
\_\_\_\_\_  
Date

Director, Missouri Department of Economic Development  
Title

## SPECIFIC CDBG CERTIFICATIONS

The State certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

**Consultation with Local Governments** – It has or will comply with the following:

- 11) It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
- 12) It engages in or will engage in planning for community development activities;
- 13) It provides or will provide technical assistance to units of local government in connection with community development programs; and
- 14) It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

**Local Needs Identification** – It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

**Community Development Plan** – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570)

**Use of Funds** – It has complied with the following criteria:

- 15) Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities that benefit low and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities that the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
- 16) Overall Benefit. The aggregate use of CDBG funds, including section 108 guaranteed loans, during program year(s) 2014, 2015, and 2016 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that

at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

17) Special Assessments. The state will require units of general local government that receive CDBG funds to certify to the following:

- It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount

against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

- However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

- It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** – It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

18) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

19) A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Compliance with Laws** – It will comply with applicable laws.

\_\_\_\_\_  
Signature/ Authorized Official

3/11/16  
\_\_\_\_\_  
Date

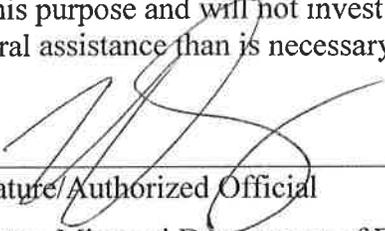
Director, Missouri Department of Economic Development  
Title

## SPECIFIC HOME CERTIFICATIONS

The State certifies that:

**Eligible Activities and Costs** – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Appropriate Financial Assistance** – Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

  
\_\_\_\_\_  
Signature/Authorized Official

3/1/16  
\_\_\_\_\_  
Date

Director, Missouri Department of Economic Development

Title

## **STATE GRANTEE EMERGENCY SHELTER GRANTS PROGRAM CERTIFICATIONS**

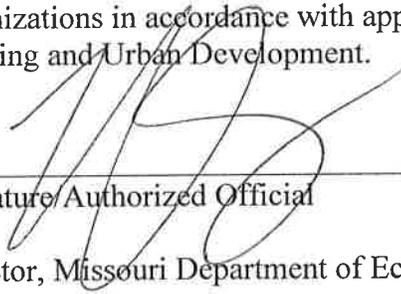
The State certifies that it will ensure compliance by units of general local government and nonprofit organizations to which it distributes funds under the Emergency Shelter Grants Program with:

- 20) The requirements of 24 CFR 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed project(s) from the unit of local government in which the proposed project is located.
- 21) The requirements of 24 CFR 576.53 concerning the continued use of buildings for which Emergency Shelter Grant funds are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating cost or essential services, concerning the population to be served.
- 22) The building standards requirement of 24 CFR 576.55.
- 23) The requirements of 24 CFR 576.56, concerning assurances on services and other assistance to the homeless.
- 24) The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable Federal law concerning nondiscrimination and equal opportunity.
- 25) The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- 26) The requirements of 24 CFR 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- 27) The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the Emergency Shelter Grants Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.
- 28) The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 CFR 576.56(b)(2).
- 29) The requirements of 24 CFR 576.21(a)(4) which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time;

and (D) that the assistance must not supplant funding for preexisting homeless prevention activities from any other source.

- 30) The new requirement of the McKinney-Vento Act, 42 U.S.C. 11301, to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that State and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of State and local resources.
- 31) The Drug Free Workplace requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.
- 32) The State will comply with the provisions of, and regulations and procedures applicable under 24 CFR 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58 as applicable to activities of nonprofit organizations funded directly by the State. The State also agrees to assume the Department's responsibility and authority as set forth in 24 CFR 576.57(e) for acting on the environmental certifications and request for the release of funds submitted to the State by local government recipients.
- 33) The State's requirement to provide matching funds required by 24 CFR 576.51 and 42 U.S.C 11375, including a description of the sources and amounts of such supplemental funds, as provided by the State, units of general local government or nonprofit organizations.
- 34) HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a complete and approved Consolidated Plan with its relevant certifications, which is treated as the application for an Emergency Shelter Grant, is authorized under State law, and that the State possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.

  
\_\_\_\_\_  
Signature/Authorized Official

3/11/16  
\_\_\_\_\_  
Date

Director, Missouri Department of Economic Development  
Title

## HOPWA CERTIFICATIONS

The State HOPWA grantee certifies that:

**Activities** – Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** – Any building or structure assisted under the program shall be operated for the purpose specified in the plan:

- 35) For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance.
- 36) For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

37)

\_\_\_\_\_  
Signature/Authorized Official

3/1/16  
\_\_\_\_\_  
Date

Director, Missouri Department of Economic Development  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### 38) Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### 39) Drug-Free Workplace Certification

- a) By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
- b) The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- c) For grantees other than individuals, Alternate I applies. (This is the information to which jurisdictions certify).
- d) For grantees who are individuals, Alternate II applies. (Not applicable jurisdictions.)
- e) Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- f) Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
- g) If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph e).
- h) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

---

---

---

---

Check \_\_\_ if there are workplaces on file that are not identified here; the certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

- i) Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C.812) and as further defined by regulation (21 CFR 1308.11 through 1308.15).

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance.

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

# SF-424 forms

**Application for Federal Assistance SF-424**

Version 02

\*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

\*2. Type of Application

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s)

\*Other (Specify) \_\_\_\_\_

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

\*5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\*a. Legal Name: State of Missouri

\*b. Employer/Taxpayer Identification Number (EIN/TIN):  
44-6000987

\*c. Organizational DUNS:  
87-901-4686

**d. Address:**

\*Street 1: 301 West High

Street 2: P.O. Box 118

\*City: Jefferson City

County: Cole

\*State: MO

Province: \_\_\_\_\_

\*Country: USA

\*Zip / Postal Code 65102

**e. Organizational Unit:**

Department Name:  
Department of Economic Development

Division Name:  
Business and Community Services

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: \_\_\_\_\_ \*First Name: Andy

Middle Name: \_\_\_\_\_

\*Last Name: Papen

Suffix: \_\_\_\_\_

Title: CDBG Manager

Organizational Affiliation:

\*Telephone Number: 573-751-3600

Fax Number: 573-526-4157

\*Email: andy.papen@ded.mo.gov

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.239

CFDA Title:

HOME Investment Partnership

**\*12 Funding Opportunity Number:**

\*Title:

HOME Investment Partnership

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

State of Missouri

**\*15. Descriptive Title of Applicant's Project:**

The abovereferenced funds are administered by the State of Missouri for the nonentitlement areas of the state for various housing projects.

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\*a. Applicant: MO-all

\*b. Program/Project: MO-all

**17. Proposed Project:**

\*a. Start Date: 4/1/16

\*b. End Date: 3/31/17

**18. Estimated Funding (\$):**

*a. Federal	_____	8,639,055
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	6,000,000
*g. TOTAL	_____	14,639,055

**\*19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

**\*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes       No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

**Authorized Representative:**

Prefix: Mr.      \*First Name: Mike

Middle Name: \_\_\_\_\_

\*Last Name: Downing

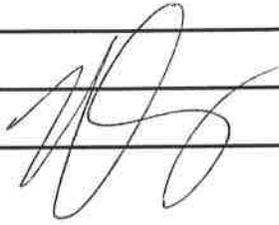
Suffix: \_\_\_\_\_

\*Title: Director, Missouri Department of Economic Development

\*Telephone Number: 573-751-4770

Fax Number: 573-526-4157

\* Email: mike.downing@ded.mo.gov

\*Signature of Authorized Representative: 

\*Date Signed: 3/1/16

**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

**Application for Federal Assistance SF-424**

Version 02

*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application      * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation      *Other (Specify) _____ <input type="checkbox"/> Revision
---	---

3. Date Received:	4. Applicant Identifier:
-------------------	--------------------------

5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
--------------------------------	--------------------------------

**State Use Only:**

6. Date Received by State:	7. State Application Identifier:
----------------------------	----------------------------------

**8. APPLICANT INFORMATION:**

*a. Legal Name: State of Missouri	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 44-6000987	*c. Organizational DUNS: 87-901-4686

**d. Address:**

*Street 1:	<u>301 West High</u>
Street 2:	<u>P.O. Box 118</u>
*City:	<u>Jefferson City</u>
County:	<u>Cole</u>
*State:	<u>MO</u>
Province:	_____
*Country:	<u>USA</u>
*Zip / Postal Code	<u>65102</u>

**e. Organizational Unit:**

Department Name: Department of Economic Development	Division Name: Business and Community Services
--	---

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: _____	*First Name: <u>Andy</u>
Middle Name: _____	
*Last Name: <u>Papen</u>	
Suffix: _____	

Title:                   CDBG Manager
---------------------------------------

Organizational Affiliation:
-----------------------------

*Telephone Number: 573-751-3600	Fax Number: 573-526-4157
---------------------------------	--------------------------

*Email: andy.papen@ded.mo.gov
-------------------------------

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.231

CFDA Title:

Emergency Solutions Grant

**\*12 Funding Opportunity Number:**

\*Title:

Emergency Solutions Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

State of Missouri

**\*15. Descriptive Title of Applicant's Project:**

The abovereferenced funds are administered by the State of Missouri for the nonentitlement areas of the state for various housing projects.

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\*a. Applicant: MO-all

\*b. Program/Project: MO-all

**17. Proposed Project:**

\*a. Start Date: 4/1/16

\*b. End Date: 3/31/17

**18. Estimated Funding (\$):**

*a. Federal	_____	2,559,566
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	2,559,566

**\*19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

**\*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes
- No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

**Authorized Representative:**

Prefix: Mr. \_\_\_\_\_ \*First Name: Mike \_\_\_\_\_  
Middle Name: \_\_\_\_\_  
\*Last Name: Downing \_\_\_\_\_  
Suffix: \_\_\_\_\_

\*Title: Director, Missouri Department of Economic Development

\*Telephone Number: 573-751-4770

Fax Number: 573-526-4157

\* Email: mike.downing@ded.mo.gov

\*Signature of Authorized Representative:



\*Date Signed: 3/1/16

**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

**Application for Federal Assistance SF-424**

Version 02

\*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

\*2. Type of Application

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s)

\*Other (Specify)  
\_\_\_\_\_

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

\*5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\*a. Legal Name: State of Missouri

\*b. Employer/Taxpayer Identification Number (EIN/TIN):  
44-6000987

\*c. Organizational DUNS:  
87-901-4686

**d. Address:**

\*Street 1: 301 West High

Street 2: P.O. Box 118

\*City: Jefferson City

County: Cole

\*State: MO

Province: \_\_\_\_\_

\*Country: USA

\*Zip / Postal Code 65102

**e. Organizational Unit:**

Department Name:  
Department of Economic Development

Division Name:  
Business and Community Services

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: \_\_\_\_\_

\*First Name: Andy

Middle Name: \_\_\_\_\_

\*Last Name: Papen

Suffix: \_\_\_\_\_

Title: CDBG Manager

Organizational Affiliation:

\*Telephone Number: 573-751-3600

Fax Number: 573-526-4157

\*Email: andy.papen@ded.mo.gov

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.241

CFDA Title:

Housing Opportunities for Persons with AIDS

**\*12 Funding Opportunity Number:**

\*Title:

Housing Opportunities for Persons with AIDS

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

State of Missouri

**\*15. Descriptive Title of Applicant's Project:**

The abovereferenced funds are administered by the State of Missouri for the nonentitlement areas of the state for various housing projects.

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\*a. Applicant: MO-all

\*b. Program/Project: MO-all

**17. Proposed Project:**

\*a. Start Date: 4/1/16

\*b. End Date: 3/31/17

**18. Estimated Funding (\$):**

*a. Federal	_____	543,784
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	543,784

**\*19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

**\*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes       No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

**Authorized Representative:**

Prefix: Mr.      \*First Name: Mike

Middle Name: \_\_\_\_\_

\*Last Name: Downing

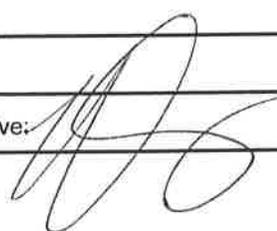
Suffix: \_\_\_\_\_

\*Title: Director, Missouri Department of Economic Development

\*Telephone Number: 573-751-4770

Fax Number: 573-526-4157

\* Email: mike.downing@ded.mo.gov

\*Signature of Authorized Representative: 

\*Date Signed: 3/1/16

**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

**Application for Federal Assistance SF-424**

Version 02

\*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

\*2. Type of Application

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s)

\*Other (Specify) \_\_\_\_\_

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

\*5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\*a. Legal Name: State of Missouri

\*b. Employer/Taxpayer Identification Number (EIN/TIN):  
44-6000987

\*c. Organizational DUNS:  
87-901-4686

**d. Address:**

\*Street 1: 301 West High  
Street 2: P.O. Box 118  
\*City: Jefferson City  
County: Cole  
\*State: MO  
Province: \_\_\_\_\_  
\*Country: USA  
\*Zip / Postal Code 65102

**e. Organizational Unit:**

Department Name:  
Department of Economic Development

Division Name:  
Business and Community Services

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: \_\_\_\_\_ \*First Name: Andy  
Middle Name: \_\_\_\_\_  
\*Last Name: Papen  
Suffix: \_\_\_\_\_

Title: CDBG Manager

Organizational Affiliation:

\*Telephone Number: 573-751-3600

Fax Number: 573-526-4157

\*Email: andy.papen@ded.mo.gov

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.228

CFDA Title:

State Community Development Block Grant

**\*12 Funding Opportunity Number:**

\*Title:

Community Development Block Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

State of Missouri

**\*15. Descriptive Title of Applicant's Project:**

The abovereferenced funds are administered by the State of Missouri for the nonentitlement areas of the state for various housing, community and economic development projects.



**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.